# **ARIZONA SUPREME COURT**

THOMAS HANSEN, an individual; CHARLOTTE COSTELLO, an individual; CARRIE GOODE, an individual; ALEXANDER CARDONA, an individual; RICHARD DELLA PORTA, an individual; SUZANNE DISTASO, an individual; CARMEN FRANCIS, an individual; IRA GOODE, an individual; CELESTE IRONS, an individual; JANA ROSE OCHS, an individual; and DANIEL O'NEAL, an individual.

Plaintiffs/Appellants,

v.

REP. MARK FINCHEM, a candidate for Secretary of State; REP. PAUL GOSAR, a candidate for Congress; REP. ANDREW BIGGS, a candidate for Congress; KATIE HOBBS, in her official capacity as Secretary of State; APACHE COUNTY BOARD OF SUPERVISORS; LARRY NOBLE, in his official capacity as Recorder of Apache County: COCHISE COUNTY BOARD OF SUPERVISORS; DAVID W. STEVENS, in his official capacity as Recorder of Cochise County; COCONINO COUNTY BOARD OF SUPERVISORS; PATTY HANSEN, in her official capacity as Recorder of Coconino County; GILA COUNTY BOARD OF SUPERVISORS; SADIE JO BINGHAM, in her official capacity as Recorder of Gila County: GRAHAM COUNTY BOARD OF SUPERVISORS; WENDY JOHN, in her official capacity as Recorder of Graham County: GREENLEE COUNTY BOARD **OF SUPERVISORS; SHARIE** MILHEIRO, in her official capacity as Recorder of Greenlee County; LA PAZ COUNTY BOARD OF SUPERVISORS: **RICHARD GARCIA**, in his official capacity as Recorder of La Paz County;

Arizona Supreme Court No. CV-22-0099-AP/EL

Maricopa County Superior Court No. CV 2022-004321 MOHAVE COUNTY BOARD OF SUPERVISORS; KRISTI BLAIR, in her official capacity as Recorder of Mohave County; NAVAJO COUNTY BOARD OF SUPERVISORS; MICHAEL SAMPLE, in his official capacity as Recorder of Navajo County; SANTA CRUZ COUNTY **BOARD OF SUPERVISORS: SUZANNE** SAINZ, in her official capacity as Recorder of Santa Cruz County; YAVAPAI COUNTY BOARD OF SUPERVISORS: LESLIE HOFFMAN, in her official capacity as Recorder of Yavapai County; YUMA COUNTY BOARD OF SUPERVISORS; ROBYN STALLWORTH POQUETTE, in her official capacity as Recorder of Yuma County; MARICOPA COUNTY BOARD OF SUPERVISORS; STEPHEN RICHER, in his official capacity as Recorder of Maricopa County; PIMA COUNTY BOARD OF SUPERVISORS; GABRIELLA CAZARES-KELLY, in her official capacity as Recorder of Pima County; PINAL COUNTY BOARD OF SUPERVISORS; and VIRGINIA ROSS, in her official capacity as Recorder of Pinal County;

Defendants/Appellees.

# APPELLANTS' APPENDIX PART II

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PUBLIC LAW 117-32-AUG. 5, 2021

### Public Law 117–32 117th Congress

#### An Act

Aug. 5, 2021 [H.R. 3325]

31 USC 5111

note.

To award four congressional gold medals to the United States Capitol Police and those who protected the U.S. Capitol on January 6, 2021.

Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,

#### SECTION 1. FINDINGS.

The Congress finds the following:

(1) Every day, the United States Capitol Police ("Capitol Police") protects the U.S. Capitol, Members of Congress, congressional staff and institutional staff, journalists, and the visiting public.

(2) On January 6, 2021, a mob of insurrectionists forced its way into the U.S. Capitol building and congressional office buildings and engaged in acts of vandalism, looting, and violently attacked Capitol Police officers.

(3) The sacrifice of heroes including Capitol Police Officers Brian Sicknick and Howard Liebengood, Metropolitan Police Department Officer Jeffrey Smith, and those who sustained injuries, and the courage of Capitol Police Officer Eugene Goodman, exemplify the patriotism and the commitment of Capitol Police officers, and those of other law enforcement agencies, to risk their lives in service of our country.

(4) Up to seven Americans died following this violent attack, and more than 140 law enforcement officers suffered physical injuries, including 15 officers who were hospitalized.

(5) The desecration of the U.S. Capitol, which is the temple of our American Democracy, and the violence targeting Congress are horrors that will forever stain our Nation's history.

(6) On April 2, 2021 Officer William "Billy" Evans was killed while protecting the North Barricade of the Capitol. Officer Evans was a distinguished member of the First Responders Unit and an eighteen-year veteran of the United States Capitol Police. Also injured in that assault was Officer Kenneth Shaver. Officer Shaver is a fifteen-year veteran of the United States Capitol Police.

#### SEC. 2. CONGRESSIONAL GOLD MEDALS.

(a) PRESENTATION AUTHORIZATION.—The Speaker of the House of Representatives and the President pro tempore of the Senate shall make appropriate arrangements for the presentation, on behalf of the Congress, of four gold medals of appropriate design to the United States Capitol Police and those who protected the U.S. Capitol on January 6, 2021.

Brian Sicknick. Howard Liebengood. Jeffrey Smith. Eugene Goodman.

William "Billy" Evans.

Kenneth Shaver.

(b) DESIGN AND STRIKING .- For the purposes of the award Determination. referred to in subsection (a), the Secretary of the Treasury (hereafter in this Act referred to as the "Secretary") shall strike gold medals with suitable emblems, devices, and inscriptions, to be determined by the Secretary.

(c) DISPOSITION OF MEDALS.—Following the award of the gold medals under subsection (a):

(1) USCP HEADQUARTERS.—One gold medal shall be given to the United States Capitol Police, so that the medal may be displayed at the headquarters of the United States Capitol Police and made available for research, as appropriate.

(2)DCMETROPOLITAN POLICE DEPARTMENT HEAD-QUARTERS.—One gold medal shall be given to the Metropolitan Police Department of the District of Columbia, so that the medal may be displayed at the headquarters of the Metropolitan Police Department and made available for research, as appropriate.

(3) SMITHSONIAN INSTITUTION.—

(A) IN GENERAL.—One gold medal shall be given to the Smithsonian Institution, where it shall be available for display as appropriate and available for research. (B) PLAQUE.—In displaying the gold medal given under subparagraph (A), the Smithsonian Institution shall display

the medal with a plaque that lists all of the law enforcement agencies that participated in protecting the U.S. Capitol on January 6, 2021.

(C) SENSE OF THE CONGRESS.—It is the sense of the Congress that the Smithsonian Institution should make the gold medal given under subparagraph (A) available for display elsewhere, particularly at appropriate locations associated with the protection of the U.S. Capitol on January 6, 2021.

(4) UNITED STATES CAPITOL.—

(A) IN GENERAL.—One gold medal shall be given to the Architect of the Capitol, for display in a prominent location in the United States Capitol as appropriate and available for research.

(B) PLAQUE.—In displaying the gold medal given under subparagraph (A), the Architect of the Capitol shall display the medal with a plaque that lists all of the law enforcement agencies that participated in protecting the United States Capitol on January 6, 2021.

#### SEC. 3. DUPLICATE MEDALS.

The Secretary may strike and sell duplicates in bronze of the gold medals struck pursuant to section 2 under such regulations as the Secretary may prescribe, at a price sufficient to cover the cost thereof, including labor, materials, dies, use of machinery, and overhead expenses.

#### SEC. 4. SENSE OF CONGRESS.

It is the sense of the Congress that the United States Mint should expedite production of the gold medals and duplicate medals under this Act, so that the sacrifices of fallen officers and their families, and the contributions of other law enforcement agencies who answered the call of duty on January 6, 2021, can be recognized and honored in a timely manner.

#### SEC. 5. NATIONAL MEDALS.

Medals struck pursuant to this Act are national medals for the purposes of chapter 51 of title 31, United States Code.

#### SEC. 6. DETERMINATION OF BUDGETARY EFFECTS.

The budgetary effects of this Act, for the purpose of complying with the Statutory Pay-As-You-Go Act of 2010, shall be determined by reference to the latest statement titled "Budgetary Effects of PAYGO Legislation" for this Act, submitted for printing in the Congressional Record by the Chairman of the House Budget Committee, provided that such statement has been submitted prior to the vote on passage.

Approved August 5, 2021.

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LEGISLATIVE HISTORY-H.R. 3325:

CONGRESSIONAL RECORD, Vol. 167 (2021): June 15, considered and passed House. Aug. 3, considered and passed Senate. DAILY COMPILATION OF PRESIDENTIAL DOCUMENTS (2021): Aug. 5, Presidential remarks.

## AMNESTY AND SECTION THREE OF THE FOURTEENTH AMENDMENT

#### Gerard N. Magliocca<sup>\*</sup>

No Person shall be a Senator or Representative in Congress, or elector of President and Vice President, or hold any office, civil or military, under the United States, or under any State, who, having previously taken an oath, as a member of Congress, or as an officer of the United States, or as a member of any State legislature, or as an executive or judicial officer of any State, to support the Constitution of the United States, shall have engaged in insurrection or rebellion against the same, or given aid or comfort to the enemies thereof. But Congress may by a vote of two-thirds of each House, remove such disability.

Section Three of the Fourteenth Amendment<sup>1</sup>

Until January 6, 2021, Section Three of the Fourteenth Amendment was one of the vestigial portions of the Constitution.<sup>2</sup> Designed to exclude many former Confederate officials and soldiers from federal or state office, Section Three was quickly neutered by Congress.<sup>3</sup> In 1872, more than the required two-thirds of the Senate and the House of Representatives passed an Amnesty Act removing disabilities from all of the former state

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<sup>\*</sup> Samuel R. Rosen Professor, Indiana University Robert H. McKinney School of Law. Thanks to Carlo Andreani, Garrett Epps, Mark Graber, Jill Hasday, Brian Kalt, Kurt Lash, and Myles Lynch for their comments on the draft.

<sup>1.</sup> U.S. CONST. amend. XIV, § 3.

<sup>2.</sup> By vestigial, I mean a constitutional provision that is operative but written for a specific purpose that no longer seemed relevant. For a more comprehensive discussion of this subject, see Peter Beck, *The Parts We Skip: A Taxonomy of Constitutional Irrelevancy*, 34 CONST. COMMENT. 223 (2019).

The violence at the Capitol on January 6, 2021, occurred after this Article was drafted and shared on SSRN. To keep the Article free from any bias or appearance of bias flowing from those events, I made no textual changes to the draft on points that could be relevant to the application of Section Three to the Capitol riot. I did add some citations to those points but did so very cautiously. In a future paper, I plan to discuss fully the Section Three issues raised by the violence at the Capitol.

<sup>3.</sup> For some contemporary background on Section Three and its application by Congress, see JAMES G. BLAINE, TWENTY YEARS OF CONGRESS: FROM LINCOLN TO GARFIELD 511–15 (Norwich, Conn., Henry Bill Publ'g Co. 1886).

officers covered by Section Three.<sup>4</sup> Then in 1898, comparable supermajorities in Congress removed the few remaining disabilities as a gesture of national unity during the Spanish-American War.<sup>5</sup> After that Section Three was almost completely forgotten, except for posthumous disability removals given to Robert E. Lee and Jefferson Davis in the 1970s.<sup>6</sup>

This Article provides the first detailed account of Section Three and argues that the provision's application was a microcosm for the arc of the Fourteenth Amendment during Reconstruction. Section Three began as a broad restructuring of state government that was given effect before the Fourteenth Amendment was even ratified by supplying the standard for disenfranchising ex-Confederates in elections for their state ratifying conventions.<sup>7</sup> Section Three was then the first part of the Fourteenth Amendment construed by the courts. Jefferson Davis contended in 1868 that Section Three was self-executing and barred his treason prosecution, and Chief Justice Salmon P. Chase agreed with those arguments as a circuit judge presiding over the proceedings in Virginia.<sup>8</sup> But shortly thereafter, the Chief Justice issued the first opinion on Section Three and held that the text was not self-executing in Virginia and-in the absence of congressional action-did not apply to a Black criminal defendant there.<sup>9</sup> Following these inconsistent rulings, Congress enacted a

<sup>4.</sup> See Act of May 22, 1872, ch. 193, 17 Stat. 142 (1872) ("[A]ll political disabilities imposed by the third section of the fourteenth article of amendments of the Constitution of the United States are hereby removed from all persons whomsoever, except Senators and Representatives of the thirty-sixth and thirty-seventh Congresses, officers in the judicial, military, and naval service of the United States, heads of departments, and foreign ministers of the United States.").

<sup>5.</sup> *See* Act of June 6, 1898, ch. 389, 30 Stat. 432 ("[T]he disability imposed by section three of the Fourteenth Amendment to the Constitution of the United States heretofore incurred is hereby removed.").

<sup>6.</sup> See S.J. Res. 23, 94th Cong. (1975) (Robert E. Lee); S.J. Res. 16, 95th Cong. (1978) (Jefferson Davis); ROBERT PENN WARREN, JEFFERSON DAVIS GETS HIS CITIZENSHIP BACK 93–94 (1980). The only other application of Section Three was to Representative Victor Berger, a member of the House of Representatives who was excluded from office after criticizing American involvement in World War I. See 6 CLARENCE CANNON, CANNON'S PRECEDENTS OF THE HOUSE OF REPRESENTATIVES OF THE UNITED STATES 52–63 (1936); JOSH CHAFETZ, DEMOCRACY'S PRIVILEGED FEW 189–91 (2007). Berger's case is a quirky example that predates modern First Amendment doctrine and is not addressed in this Article.

<sup>7.</sup> See First Military Reconstruction Act, ch. 153, § 5, 14 Stat. 428, 428–30 (1867).

<sup>8.</sup> See Case of Davis, 7 F. Cas. 63, 90, 92–94, (C.C.D. Va. 1867) (No. 3,621a) (describing Davis's argument and the Government's response); *id.* at 102 (noting the Chief Justice's view).

<sup>9.</sup> See Griffin's Case, 11 F. Cas. 7, 26 (C.C.D. Va. 1869) (No. 5,815).

Section Three enforcement statute and federal prosecutors brought many actions to oust ineligible officials, including half of the Tennessee Supreme Court.<sup>10</sup> The reforming zeal of Reconstruction was at its peak.

By 1871, though, political pressure for sectional reconciliation led President Ulysses S. Grant to ask Congress to remove the Section Three disabilities.<sup>11</sup> Senator Charles Sumner then led an unsuccessful effort to forge a grand bargain under which Section Three relief would be combined with a new civil rights measure that would, among other things, bar racial segregation in public schools.<sup>12</sup> The failure of that compromise, along with Congress's decision to grant a freestanding Section Three amnesty, was a harbinger of Reconstruction's doom and the contraction of the Fourteenth Amendment in the Supreme Court.<sup>13</sup> The amnesty debate also raised deep questions about the meaning of representation, the way in which divided societies should be reunited, and whether the Fourteenth Amendment was mainly concerned with legal neutrality or ending white supremacy. These questions haunt us still.

Part I reviews the text of Section Three and what we know of its original public meaning during the proposal and ratification stages. Part II explores how Section Three was enforced against southern officeholders, with a special focus on Chief Justice Chase's analysis in *Griffin's Case*—the first major Fourteenth Amendment opinion—as compared to his view of Section Three

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<sup>10.</sup> See Act of May 31, 1870 (First Ku Klux Klan Act), ch. 114, § 14, 16 Stat. 140, 143; id. at § 15 (imposing criminal penalties for knowing Section Three violations) [hereinafter First Ku Klux Klan Act]; Sam D. Elliott, When the United States Attorney Sued to Remove Half the Tennessee Supreme Court: The Quo Warranto Cases of 1870, 49 TENN B.J. 20 (2013).

<sup>11.</sup> See Ulysses S. Grant, Third Annual Message (Dec. 4, 1871), *in* 9 A COMPILATION OF THE MESSAGES AND PAPERS OF THE PRESIDENTS 4096, 4107 (James D. Richardson ed., New York, Bureau of Nat'l Literature, Inc. 1897); see also 1 NATIONAL PARTY PLATFORMS 44 (Donald Bruce Johnson ed., 1978) (quoting the Liberal Republican Platform of 1872, which called for Section Three amnesty).

<sup>12.</sup> See DAVID HERBERT DONALD, CHARLES SUMNER AND THE RIGHTS OF MAN 534–39 (1970); Michael W. McConnell, *Originalism and the Desegregation Decisions*, 81 VA. L. REV. 947, 1049–60 (1995). Parts of the Sumner amendment were enacted as the Civil Rights Act of 1875. See The Civil Rights Cases, 109 U.S. 3 (1883) (invalidating most of the 1875 Civil Rights Act).

<sup>13.</sup> See, e.g., Slaughter-House Cases, 83 U.S. (16 Wall.) 36 (1873); see also RON CHERNOW, GRANT 843–49 (2017) (describing the disputed presidential election of 1876 and the pledge by President-Elect Hayes to withdraw federal troops from the South in exchange for the White House).

in Jefferson Davis's treason case.<sup>14</sup> Part III takes a close look at the congressional debate on amnesty from 1871-1872. Part IV concludes by discussing Section Three's gradual and ironic deletion from history.

### PART I. PROPOSAL AND RATIFICATION

This Part parses the text of Section Three and examines its public understanding until the Fourteenth Amendment was ratified. From a modern vantage point, the most intriguing facet of Section Three was its implicit endorsement of the view that a small clique of enslavers—the so-called Slave Power—bore primary responsibility for the Civil War and thus should be purged from office.<sup>15</sup> The most important contemporary issue for Section Three, however, was about whether the provision was best read as a new qualification for office or as a punishment, which became an issue in the Jefferson Davis treason case.<sup>16</sup> All of these points are illuminated by the unusual fact that Section Three is the only constitutional provision that was enforced prior to its ratification.<sup>17</sup>

<sup>14. 11</sup> F. Cas. 7, 22–27 (C.C.D. Va. 1869) (No. 5,815). The case is sometimes referred to as *In Re Griffin*, but this Article uses the alternative name *Griffin's Case*.

<sup>15.</sup> See, e.g., ERIC FONER, THE SECOND FOUNDING: HOW THE CIVIL WAR AND RECONSTRUCTION REMADE THE CONSTITUTION 84 (2019); GARRETT EPPS, DEMOCRACY REBORN: THE FOURTEENTH AMENDMENT AND THE FIGHT FOR EQUAL RIGHTS IN POST-CIVIL WAR AMERICA 73 (2006) (referring to "the white planters, politicians, and merchants who had made up the Slave Power" before the Civil War). Mark Graber is working on a book about Sections Two, Three, and Four of the Fourteenth Amendment. I am grateful for his research on Section Three, some of which he shared with me. This Article spends most of its time on what occurred after Section Three was proposed by Congress rather than on what was discussed there in 1866.

<sup>16.</sup> See, e.g., Richard M. Re & Christopher M. Re, Voting and Vice: Criminal Disenfranchisement and the Reconstruction Amendments, 121 YALE L.J. 1584, 1616–24 (2012); see also Case of Davis, 7 F. Cas. 63, 89–102 (C.C.D. Va. 1867); CARLTON F. W. LARSON, ON TREASON: A CITIZEN'S GUIDE TO THE LAW 127–28 (2020); CYNTHIA NICOLETTI, SECESSION ON TRIAL: THE TREASON PROSECUTION OF JEFFERSON DAVIS 294–99 (2017); C. Ellen Connally, The Use of the Fourteenth Amendment by Salmon P. Chase in the Trial of Jefferson Davis, 42 AKRON L. REV. 1165 (2009); Dwight J. Davis, The Legal Travails of Jefferson Davis: A Review and Lessons Learned, 23 J. S. LEGAL HIST. 27, 73–76 (2015).

<sup>17.</sup> Granted, this is an arguable proposition that depends on calling a delegate to a state constitutional convention a state officer. *See infra* text accompanying notes 53–54. But no other constitutional provision was directly referenced and applied by a statute prior to that provision's ratification, which itself makes Section Three special.

#### A. THE PROBLEM OF ALEXANDER STEPHENS

When the Thirty-Ninth Congress convened in December 1865, Senators and elected Representatives from the ex-Confederate States showed up ready to take their seats. Among those members-elect were many rebel leaders, including Alexander Stephens, the Confederate Vice President, two Confederate Senators, four Confederate Congressmen, and several military officers of the Confederate Army.<sup>18</sup> The presence of these unrepentant rebels infuriated most Republicans in Congress. As the Joint Committee on Reconstruction explained in its report, the elections in the South "resulted, almost universally, in the defeat of candidates who had been true to the Union, and in the election of notorious and unpardoned rebels... who made no secret of their hostility to the government and the people of the United States."<sup>19</sup> The Joint Committee thus recommended "the exclusion from positions of public trust of, at least, a portion of those whose crimes have proved them to be enemies to the Union, and unworthy of public confidence."20

The ensuing language of Section Three was introduced in the Senate as a substitute to the House's proposal.<sup>21</sup> Representative James G. Blaine, who later served as the Speaker of the House, recalled in his memoir that when the proposal "was under discussion in Congress, the total number affected was estimated at fourteen thousand, but subsequently it was ascertained to be much greater."<sup>22</sup> In the discussion of the Senate proposal, one objection was that exclusion would make ratification of the

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<sup>18.</sup> See EDWARD MCPHERSON, THE POLITICAL HISTORY OF THE UNITED STATES OF AMERICA DURING THE PERIOD OF RECONSTRUCTION 107–09 (Washington, Solomons & Chapman 1875).

<sup>19.</sup> JOINT COMM. ON RECONSTRUCTION, 39th Cong., REPORT OF THE JOINT COMMITTEE ON RECONSTRUCTION, at x (1st Sess. 1866).

<sup>20.</sup> *Id.* at xviii; *see* 2 BLAINE, *supra* note 3, at 190 ("It was therefore the general expectation of the people that by some law, either statute or organic, the political privileges of these men, so far as the right to hold office was involved, should be restricted . . . . ").

<sup>21.</sup> The initial proposal from the Joint Committee would have disenfranchised ex-Confederates from voting in all national elections until 1870. *See* CONG. GLOBE, 39th Cong., 1st Sess. 2545 (1866) ("Until the 4th day of July, in the year 1870, all persons who voluntarily adhered to the late insurrection, giving it aid and comfort, shall be excluded from the right to vote for Representatives in Congress and for electors for President and Vice-President of the United States."); FONER, *supra* note 15, at 84. This idea received no support in the Senate and was abandoned. *See* Re & Re, *supra* note 16, at 1617; *see also* CONG. GLOBE, 39th Cong., 2d Sess. 1212 (1867) (statement of Rep. Bingham) (retelling the background of the Joint Committee proposal).

<sup>22.</sup> BLAINE, supra note 3, at 511.

Fourteenth Amendment impossible in the South.<sup>23</sup> Another Senator said that sidelining the old local political establishment would greatly hamper cooperation with the Union: "Do you not want to act upon the public opinion of the masses of the South? Do you not want to win them back to loyalty? And if you do, why strike at the men who, of all others, are most influential and can bring about the end which we all have at heart?"<sup>24</sup> The Joint Committee's response to this type of claim was: "Slavery, by building up a ruling and dominant class, had produced a spirit of oligarchy adverse to republican institutions, which finally inaugurated civil war. The tendency of continuing the domination of such a class, by leaving it in the exclusive possession of political power, would be to encourage the same spirit, and lead to a similar result."<sup>25</sup>

The Joint Committee's logic for what became Section Three evoked an abolitionist mantra that a "Slave Power" of Southern elites was to blame for the Civil War. Prior to the 1860s, many in the North believed that rich enslavers were conspiring secretly to extinguish liberty.<sup>26</sup> Although there was a paranoid aspect to that view, there was a pragmatic reason to single out the political class once the war was over. Giving other whites a pass arguably raised the prospects for reconciliation in much the way that the Nuremberg Trials did for Germany after World War II by focusing on Nazi leaders and not on their followers.<sup>27</sup> There was

<sup>23.</sup> See, e.g., CONG. GLOBE, 39th Cong., 1st Sess. 2900 (1866) (statement of Sen. Doolittle).

<sup>24.</sup> *Id.* at 2898–99 (1866) (statement of Sen. Johnson). Senator Johnson opposed the entire Fourteenth Amendment, so his statement about Section Three must be taken with a grain of salt.

<sup>25.</sup> JOINT COMM. ON RECONSTRUCTION, *supra* note 19, at xiii; *see also* FONER, *supra* note 15, at 84 (quoting a Republican Congressman who supported Section Three on the grounds that the South needed new officials with "some regard for the principles that are contained in the Declaration of Independence").

<sup>26.</sup> See GARRY WILLS, "NEGRO PRESIDENT": JEFFERSON AND THE SLAVE POWER 9–10 (2003); Compare DAVID BRION DAVIS, THE SLAVE POWER CONSPIRACY AND THE PARANOID STYLE (1970) (examining this belief and concluding that no such conspiracy existed), with LEONARD L. RICHARDS, THE SLAVE POWER: THE FREE NORTH AND SOUTHERN DOMINATION, 1780–1860 (2000) (challenging Davis's conclusions).

<sup>27.</sup> See, e.g., GITTA SERENY, ALBERT SPEER: HIS BATTLE WITH TRUTH 576 (1995) (quoting Robert Jackson's opening statement at Nuremberg, which said: "We would also make clear that we have no purpose to incriminate the whole German people.... The German no less than the non-German world has accounts to settle with these defendants."). The ineffectiveness of Section Three to achieve lasting political change might be analogized to the mixed results that followed similar efforts to exclude former Nazis from office in Germany, but that comparison is beyond the scope of this Article.

also a plausible thought that the Confederate leaders were not truly representative of their voters and chose to exercise their independent judgment in a destructive manner.<sup>28</sup> A new group of leaders, one could hope, would produce a different racial and political stance.

### B. COMPARING SECTION THREE TO THE 1787 CONSTITUTION

Turning to the text of Section Three, the first notable point involves its list of the federal offices subject to exclusion. Senators, Representatives, and electors for President and Vice President are specified. After that, the text refers to "any office, civil or military, under the United States." One implication of this language is that Senators, Representatives, and electors do not hold an office under the United States. The other implication is that the Presidency and the Vice-Presidency are each offices under the United States.<sup>29</sup> During the debate on Section Three, one Senator asked why ex-Confederates "may be elected President or Vice President of the United States, and why did you omit to exclude them? I do not understand them to be excluded from the privilege of holding the two highest offices in the gift of the nation."<sup>30</sup> Another Senator replied that the lack of specific language on the Presidency and Vice-Presidency was irrelevant: "Let me call the Senator's attention to the words 'or hold any office, civil or military, under the United States."<sup>31</sup> Practically speaking, Congress did not intend (nor would the public have understood) that Jefferson Davis could not be a Representative

<sup>28.</sup> Put another way, Confederate officials might have acted like Edmund Burke but not in a Burkean fashion. *Cf.* Edmund Burke, Speech to Electors of Bristol (Nov. 3, 1774), *in* 2 THE WORKS OF THE RIGHT HONORABLE EDMUND BURKE 89, 95–96 (Boston, Little, Brown, & Co. 1871) (stating that an elected official should exercise his best judgment rather than simply follow the wishes of his constituents).

<sup>29.</sup> For a thoughtful critique of this view, see Josh Blackman & Seth Barrett Tillman, *Is the President an 'Officer of the United States' for Purposes of Section Three of the Fourteenth Amendment*, VOLOKH CONSPIRACY (Jan. 20, 2021), https://reason.com/volokh/2021/01/20/is-the-president-an-officer-of-the-united-states-for-purposes-of-section -3-of-the-fourteenth-amendment/. If there is an attempt to apply Section Three to former President Trump for his role in the events of January 6, 2021, the issue of whether Section Three applies to him or to the presidency itself will surely be part of any ensuing litigation.

<sup>30.</sup> CONG. GLOBE, 39th Cong., 1st Sess. 2899 (1866) (statement of Sen Johnson).

<sup>31.</sup> *Id.* (statement of Sen. Morrill); *cf. Speech of Hon. John A. Bingham*, N. H. STATESMAN, Aug. 24, 1866, at 1 (stating that Section Three meant broadly that "no man who broke his official oath with the nation or State, and rendered service in this rebellion shall, except by the grace of the American people, be again permitted to hold a position, either in the National or State Government.").

or a Senator but could be President.<sup>32</sup>

Next, Section Three helped define Section Two of the Fourteenth Amendment. Section Two sets forth a complicated formula that penalizes states for disenfranchising presumptively eligible voters by reducing their representation in the House of Representatives and in the Electoral College in proportion to the disenfranchisement.<sup>33</sup> An exception to that penalty was "for participation in rebellion, or other crime." "Rebellion" was also used in Section Three and gave more specific guidance on what the same word meant in Section Two. As we will see in a moment, some Southern states relied on Section Three in their post-bellum constitutions to decide who could be disenfranchised consistent with Section Two.<sup>34</sup>

Third, Section Three vested the authority to grant absolution in Congress rather than in the President. This is consistent with the broader structure of the Fourteenth Amendment, which focuses on the power and composition of Congress.<sup>35</sup> Section Three is also a striking exception to the President's pardon power aside from impeachment, and reflects the bitter antagonism between Congress and President Andrew Johnson that led to the proposal of the Fourteenth Amendment in the first place.<sup>36</sup> President Johnson issued pardons to many former Confederates in a way that upset leading members of Congress, and they were unwilling to give Johnson or any other President the ability to remove Section Three disabilities.<sup>37</sup> At the same time, for a

<sup>32.</sup> Perhaps one could say that ex-Confederates were not expected to win the presidency or the vice-presidency, which is why Section Three did not bar them from those offices. But this is not a persuasive argument in light of the sweeping arguments by the proponents and opponents of Section Three that did not admit of exceptions for the President or Vice President.

<sup>33.</sup> See Gerard N. Magliocca, Our Unconstitutional Reapportionment Process, 86 GEO. WASH. L. REV. 774 (2018).

<sup>34.</sup> See infra text accompanying notes 55–56.

<sup>35.</sup> As just explained, Section Two discusses how Representatives should be apportioned in the House and contemplates congressional enforcement of the penalty provision. Likewise, Section Five describes Congress's enforcement power.

<sup>36.</sup> See generally DAVID O. STEWART, IMPEACHED: THE TRIAL OF ANDREW JOHNSON AND THE FIGHT FOR LINCOLN'S LEGACY (2009) (describing the fight between the President and Congressional Republicans that culminated in impeachment); see also U.S. CONST. art. II, § 2, cl. 1 (stating that the pardon power does not extend to "Cases of Impeachment").

<sup>37.</sup> See Re & Re, *supra* note 16, at 1618. One issue raised in Congress was whether pardons already given by President Johnson to the people subjected to Section Three exempted them from the exclusion provision. See BLAINE, *supra* note 3, at 205–07, 209–12 (summarizing that debate). The Senate rejected an amendment creating an exception in

legislative pardon Section Three imposed one of the few supermajority requirements in the Constitution.

Fourth, Section Three marked the first time that the Constitution placed substantive limits on a state's authority to choose its own officials. Article One gives Congress the power to exclude particular members sent as Representatives or Senators by a state.<sup>38</sup> Article Two restricts whom state legislatures can name as presidential electors.<sup>39</sup> Article Four provides that an entire state government can be displaced in extraordinary cases.<sup>40</sup> But the Constitution says nothing about who can or cannot be a state official. Section Three's unprecedented intervention in state governance resonated with the nationalist spirit of the Fourteenth Amendment, expressed best by Section One but also present in Sections Two, Four, and Five.<sup>41</sup>

The final textual observation is that Section Three draws on the Treason Clause. The Treason Clause defines that crime as "levying War against [the United States], or in adhering to their Enemies, giving them Aid and Comfort."<sup>42</sup> Section Three instead uses the phrase "given aid or comfort to the enemies thereof." The parallels between the Treason Clause and Section Three shaped the argument that the exclusion from office was a punishment that violated constitutional norms.<sup>43</sup> One Senator

41. Section Four of the Fourteenth Amendment provided that the validity of the national debt, especially in connection with suppressing the rebellion "shall not be questioned." U.S. CONST. amend. XIV, § 4. Section Five addressed Congress's power to enforce the rest of the Fourteenth Amendment. *See id.* at § 5.

42. U.S. CONST. art. III, § 3, cl. 1.

43. See, e.g., CONG. GLOBE, 39th Cong., 1st Sess. 2900 (1866) (statement of Sen. Doolittle) (stating that Section Three was "in the nature of a bill of pains and penalties, imposed by constitutional enactment it is true, but it is a punishment different from the punishment now prescribed by law"); *cf. id.* at 2899 (statement of Sen. Guthrie) ("This third section is not an act of conciliation, it is an act of proscription."). One Senator compared Section Three to the disqualification of impeached and convicted officials, *see* 

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Section Three who those "who have duly received pardon and amnesty under the Constitution and laws." *See id.* at 211. As a result, Section Three was applied to people who had received a presidential pardon, but in 1885 the Attorney General took the view that a pardon superseded Section Three. *See infra* text accompanying notes 202–208.

<sup>38.</sup> See U.S. CONST. art. I, § 5, cl. 1.

<sup>39.</sup> See id. art. II, § 1, cl. 2.

<sup>40.</sup> See id. art. IV, § 4 ("[T]he United States shall guarantee to every State in this Union a Republican Form of Government..."). Indeed, this is one way of justifying what occurred during Military Reconstruction. See, e.g., AKHIL REED AMAR, AMERICA'S CONSTITUTION: A BIOGRAPHY 368–80 (2005). The Guarantee Clause has not been used against a single state official or small group of state officials, though on one occasion Congress gave that issue some consideration. See Gerard N. Magliocca, Huey P. Long and the Guarantee Clause, 83 TUL. L. REV. 1 (2008).

attacked Section Three because he was against "to the infliction of punishment of any kind upon anybody unless by fair trial."<sup>44</sup> A Congressman added that Section Three was a criminal "bill of attainder or *ex post facto* law."<sup>45</sup> And when Chief Justice Chase gave Section Three its first judicial interpretation in *Griffin's Case* (discussed in Part II), he said that "it can hardly be doubted that the main purpose was to inflict upon the leading and most influential characters who had been engaged in the Rebellion, exclusion from office as a punishment for the offense."<sup>46</sup>

Section Three's supporters disagreed about whether exclusion from office was properly read as a punishment. John Bingham, the lead drafter of Section One of the Fourteenth Amendment, described Section Three to an Ohio crowd during his 1866 reelection campaign and said that the ex-rebel leaders "surely have no right to complain if this is all the punishment the American people shall see fit to impose upon them."<sup>47</sup> Senator Lyman Trumbull, though, rejected a penal reading of Section Three: "[W]ho ever heard of such a proposition ... that a bill excluding men from office is a bill of pains and penalties and punishment?"48 Senator Trumbull stated that the Constitution "declares that no one but a native-born citizen of the United States shall be President . . . Does, then, every person living in this land who does not happen to have been born within its jurisdiction undergo pains and penalties and punishment all his life, because by the Constitution he is ineligible to the Presidency?"49 This dispute remains unresolved, as the Supreme Court has never decided the proper view of Section Three.<sup>50</sup>

*id.* at 2915 (statement of Sen. Doolittle), though that comparison refutes the claim that disqualification from office is a criminal punishment given that the Constitution's impeachment provisions expressly distinguish disqualification from office from any criminal penalties that might be imposed afterwards. *See* U.S. CONST. art. I, § 3, cl. 7.

<sup>44.</sup> See CONG. GLOBE, 39th Cong., 1st Sess. 2899 (1866) (statement of Sen. Cowan).

<sup>45.</sup> *Id.* at 2467 (1866) (statement of Rep. Boyer); *see id.* at 879 (statement of Sen. Hendricks) (also making an ex post facto argument). Nobody argued that Section Three was unconstitutional. Rather, the point was that retroactive punishments imposed without trial were contrary to the spirit of the 1787 Constitution. *See* Griffin's Case, 11 F. Cas. 7, 21 (C.C.D. Va. 1869) (No. 5,815).

<sup>46.</sup> Griffin's Case, 11 F. Cas. at 26.

<sup>47.</sup> The Constitutional Amendment: Discussed By Its Author, CINCINNATI COM., Aug. 27, 1866, at 1.

<sup>48.</sup> CONG. GLOBE, 39th Cong., 1st Sess. 2901 (1866) (statement of Sen. Trumbull).

<sup>49.</sup> *Id*; *see id*. at 2918 (statement of Sen. Willey) (stating that Section Three was not "penal in its character, it is precautionary").

<sup>50.</sup> See Powell v. McCormack, 395 U.S. 486, 520 n.41 (1969) (declining to address the

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### 2021] AMNESTY AND SECTION THREE

#### C. MILITARY RECONSTRUCTION AND STATE CONSTITUTIONS

Section Three was the first part of the proposed amendment that Congress used. When the former Confederate states (save Tennessee) rejected the Fourteenth Amendment, Congress replied by creating a new process for organizing those state governments that relied, in part, on Section Three.<sup>51</sup> The First Military Reconstruction Act directed these ten states to draft new constitutions and ordered that nearly all male adult Blacks be allowed to vote in elections for the constitutional conventions.<sup>52</sup> But the Act stated that "no person excluded from the privilege of holding office by said proposed amendment to the Constitution of the United States, shall be eligible to election as a member of the convention to frame a constitution for any of said rebel States, nor shall any such person vote for members of such convention."53 Congress soon enacted the Second Military Reconstruction Act, which authorized the Army to register voters for those state convention elections and required voters to swear an oath stating that they were not subject to Section Three.<sup>54</sup> These Reconstruction Acts created an electorate that was more favorable for establishing state governments in support of ratifying the Fourteenth Amendment, including Section Three.

Section Three also appeared in four of the new state constitutions written under the auspices of the Reconstruction Acts. The South Carolina and Texas Constitutions stated: "[N]o person shall be allowed to vote or hold office who is now or hereafter may be disqualified therefor by the Constitution of the United States, until such disqualification shall be removed by the Congress of the United States."<sup>55</sup> This clause incorporated Section

issue); see also U.S. Term Limits, Inc. v. Thornton, 514 U.S. 779, 787 n.2 (1995) (same).

<sup>51.</sup> See GERARD N. MAGLIOCCA, AMERICAN FOUNDING SON: JOHN BINGHAM AND THE INVENTION OF THE FOURTEENTH AMENDMENT 124, 129–38 (2013); Gabriel J. Chin, The "Voting Rights Act of 1867": The Constitutionality of Federal Regulation of Suffrage During Reconstruction, 82 N.C. L. REV. 1581, 1590–91 (2004).

<sup>52.</sup> See First Military Reconstruction Act, ch. 153. § 5, 14 Stat. 428, 428–30 (1867) (stating that "a convention of delegates elected by the male citizens of said State, twenty-one years old and upward, of whatever race, color, or previous condition" except for felons would frame the new state constitutions).

<sup>53.</sup> *Id.* 

<sup>54.</sup> See Second Military Reconstruction Act, ch. 6, § 1, 15 Stat. 2 (1867); Re & Re, *supra* note 16, at 1625. The Third Military Reconstruction Act clarified that the denial of suffrage should be read broadly to include people who held any "civil offices created by law for the administration of any general law of a State, or for the administration of justice." *See* Third Military Reconstruction Act, ch. 30, § 6, 15 Stat. 14, 15 (1867).

<sup>55.</sup> S.C. CONST. of 1868, art. VIII, § 2; TEX. CONST. of 1869, art. VI, § 1.

Three by reference (given the language about Congress removing the disqualification) and applied to all elections. Furthermore, these states stripped themselves of authority to restore suffrage to these citizens—only Congress could do so. Alabama and Arkansas put more modest restrictions in their constitutions that pegged suffrage to Section Three but permitted the legislature to remove the disability if Congress did not act.<sup>56</sup> A decision by Congress to grant Section Three amnesty, hence, would restore voting rights to some ex-Confederates in the South.<sup>57</sup>

When the first batch of ex-Confederate states ratified the Fourteenth Amendment and were readmitted in 1868, Congress again invoked Section Three in the legislation restoring their representation. This readmission act provided: "[N]o person prohibited from holding office under the United States, or under any State, by section three of the proposed amendment to the Constitution of the United States, known as article fourteen, shall be deemed eligible to any office in either of said States, unless relieved from disability [by Congress]."<sup>58</sup> This law was enacted shortly before ratification of the Fourteenth Amendment, and one state case later held that an ineligible judge's acts taken after this statute was enacted but prior to the Amendment's ratification were invalid.<sup>59</sup> One state readmitted by this Act was Georgia, but

<sup>56.</sup> See ALA. CONST. of 1868, art. VII, § 3 (denying suffrage to "[t]hose who may be disqualified from holding office by the proposed amendment to the Constitution of the United States, known as 'Article XIV,'" but stating that "the General Assembly shall have power to remove the disabilities incurred under this clause"); ARK. CONST. of 1868, art. VIII, § 3 (denying suffrage (with some exceptions) to anyone covered by Section Three of the Fourteenth Amendment and permitting the state legislature (by a two-thirds vote) and the Governor to waive the disability).

<sup>57.</sup> It is unclear how many men lost the right to vote in these states or how many of them had their rights restored by the 1872 congressional amnesty. All of these state constitutional provisions were subsequently repealed.

<sup>58.</sup> See Act of June 25, 1868, ch. 70, § 3, 15 Stat. 73, 74. Another notable feature of this statute is its statement that "the constitutions of neither of the said States shall ever be so amended or changed to deprive any citizen or class of citizens of the United States of the right to vote in said State, who are entitled to vote by the constitution thereof herein recognized, except as a punishment for such crimes as are now felonies at common law, whereof they shall have been duly convicted under laws equally applicable to all the inhabitants of said State." *Id.* § 2. This provision was not enforced when Jim Crow constitutional reforms deprived Blacks of voting rights. The reference in that language to "crimes as are now felonies at common law" suggests a narrower definition of Section Two of the Fourteenth Amendment that current Supreme Court doctrine, which holds that a state may disenfranchise someone for any felony. *See* Richardson v. Ramirez, 418 U.S. 24 (1974).

<sup>59.</sup> See State ex rel. Sandlin v. Watkins, 21 La. Ann. 631, 633–34 (La. 1869). Other state cases about Section Three relied on the Fourteenth Amendment itself rather than on

in 1869 Georgia was kicked out of Congress for expelling all of its Black legislators and not its white legislators who were ineligible under Section Three.<sup>60</sup> President Grant asked Congress to take action to enforce "the third clause of the fourteenth amendment."<sup>61</sup> Congress then directed the Governor to summon the state legislature into special session and require all members to swear that they were eligible or were "relieved, by an act of the Congress of the United States, from disability as provided for by section three of the fourteenth amendment to the Constitution of the United States."<sup>62</sup> Through Section Three, therefore, Congress and the Executive Branch were now deeply involved in internal state politics.

In sum, Section Three was narrow but deep. The exclusion from office embraced a theory that the political and military elites of the South were the only people who should bear constitutional responsibility for the Civil War. In making that judgment, however, Congress limited a state's ability to choose its leaders and would force some of them to clean house.

any state readmission statute. *See* Worthy v. Barrett, 63 N.C. 99 (1869) (holding that a county sheriff who held that office before and during the Civil War was disqualified from office under Section Three); *In Re* Tate, 63 N.C. 308 (1869) (holding that a state solicitor who was a county attorney before the Civil War and served in the Confederate Army was disqualified by Section Three); *cf.* Sands v. Commonwealth, 62 Va. (21 Gratt.) 871, 885–87 (1872) (rejecting a claim that the Virginia Constitution incorporated the Section Three exclusion as applied to jury service); State *ex rel.* Downes v. Towne, 21 La. Ann. 490 (La. 1869) (holding that state judge could not be dismissed as ineligible without a court judgment).

<sup>60.</sup> See, e.g., Ulysses S. Grant, First Annual Message (Dec. 6, 1869), *in* 9 MESSAGES, *supra* note 11, at 3981, 3982 (stating that the Georgia Legislature "unseated the colored members of the legislature and admitted to seats some members who are disqualified by the third clause of the fourteenth amendment to the Constitution—an article which they themselves had contributed to ratify").

<sup>61.</sup> See *id*. ("Under these circumstances I would submit to you whether it would not be wise, without delay, to enact a law authorizing the governor of Georgia to convene the members originally elected to the legislature, requiring each member to take the oath prescribed by the reconstruction acts, and none to be admitted who are ineligible under the third clause of the fourteenth amendment.").

<sup>62.</sup> See Act of Dec. 22, 1869, ch. 3, § 2, 16 Stat. 59, 60; *id.* § 6 (stating that excluding legislators on the basis of race was "illegal, and revolutionary, and is hereby prohibited"); *id.* § 8 (requiring Georgia to ratify the Fifteenth Amendment for readmission). When the Legislature refused to comply, the Union Army enforced Congress's mandate by removing the ineligible lawmakers and restoring the Black legislators in what white supremacists called "Terry's Purge." See JAMES FORD RHODES, 6 HISTORY OF THE UNITED STATES FROM THE COMPROMISE OF 1850 TO THE FINAL RESTORATION OF HOME RULE AT THE SOUTH IN 1877, at 288–89 (1912).

#### PART II. ENFORCEMENT

This Part discusses Section Three's life immediately after the Fourteenth Amendment's ratification. The first man to invoke Section Three was Jefferson Davis. He argued in proceedings before Chief Justice Chase that the provision barred his treason prosecution in Virginia.63 The Chief Justice concurred with Davis's argument, but just a few months later he held in Griffin's *Case* that Section Three did not apply to a Black criminal defendant in Virginia without enforcement by an Act of Congress.<sup>64</sup> These two different assessments about whether Section Three was self-executing in Virginia are almost impossible to reconcile, and the Chief Justice's logic in Griffin's Case was a harbinger of the Fourteenth Amendment's troubled future as a tool for racial fairness. Not long afterwards, Congress enacted a general enforcement law for Section Three that gave priority to civil actions seeking to oust ineligible officials and imposed criminal penalties on those who did not step down.<sup>65</sup> This period of Section Three enforcement is not well known and was part of Congress's effort to defeat the Ku Klux Klan.

#### A. JEFFERSON DAVIS AND CHIEF JUSTICE CHASE

After Jefferson Davis was indicted by the United States for treason and after the Fourteenth Amendment was ratified, his lawyers argued that Section Three imposed a punishment and that this penalty barred a treason prosecution.<sup>66</sup> The argument was suggested to Davis's defense team by none other than Chief Justice Chase, one of the two judges who presided over the pretrial motions in federal circuit court.<sup>67</sup> Davis then took the

<sup>63.</sup> On this score, there is a parallel between Davis's case and the fact that white Southerners were the first plaintiffs to have a case decided in the Supreme Court on the meaning of Section One of the Fourteenth Amendment. *See* Slaughter-House Cases, 83 U.S. (16 Wall.) 36 (1873); BARRY FRIEDMAN, THE WILL OF THE PEOPLE: HOW PUBLIC OPINION HAS INFLUENCED THE SUPREME COURT AND SHAPED THE MEANING OF THE CONSTITUTION 141 (2009).

<sup>64. 11</sup> F. Cas. 7, 26 (C.C.D. Va. 1869) (No. 5,815).

<sup>65.</sup> First Ku Klux Klan Act, ch. 114, §§ 14–15, 16 Stat. 140, 143–44 (1870).

<sup>66.</sup> See Case of Davis, 7 F. Cas. 63, 89 (C.C.D. Va. 1867) (No. 3,621a). Just to be clear, these arguments were made in late 1868 even though the report lists the date as 1867, which is when the initial indictment was issued against Davis.

There were many legal and political difficulties with trying Jefferson Davis for treason that went beyond Section Three. For excellent discussions of the wider context, see LARSON, *supra* note 16, at 122–26; NICOLETTI, *supra* note 16, at 5–10.

<sup>67.</sup> See Davis, supra note 16, at 73–74; NICOLETTI, supra note 16, at 294–96. In this era, each Justice rode circuit and sat with a federal district judge to hear appeals and to

position that Congress intended Section Three as an exclusive criminal punishment.<sup>68</sup> He also contended that applying Section Three to him and convicting him of treason would violate the principle of double jeopardy, though he did not say that the Fifth Amendment applied as such to his case.<sup>69</sup> In making these claims, Davis took the position that Section Three was self-enforcing in Virginia.<sup>70</sup> Put another way, he said that he lack of any action by Congress to enforce Section Three there did not prevent its application to his defense.

The United States answered that Section Three was not a punishment or, if it was, then exclusion from office was not the exclusive punishment.<sup>71</sup> To conclude otherwise would mean that the Confederacy's leaders would be free from criminal liability while "the great crowd of humbler offenders, who had but followed the lead of these, their chiefs, were to be left exposed to fines, forfeitures, and imprisonments ... Such a theory of the amendment was in direct reversal of the known national sentiment in this regard."72 The Government added that "[p]robably nothing would more surprise the people of the United States more than to learn that, by adopting amendment 14, they had repealed all the penalties against treason, insurrection, or rebellion."73 Moreover, the United States denied Davis's claim that Section Three was self-enforcing in Virginia.<sup>74</sup> There was no Act of Congress enforcing Section Three in that state at the time, and so-the argument went-Davis could not claim any alleged

hold some trials. See Joshua Glick, On the Road: The Supreme Court and the History of Circuit Riding, 24 CARDOZO L. REV. 1753, 1812–18 (2003).

Chief Justice's Chase legal advice to the attorneys of a defendant before him in a criminal trial was quite unusual and would not be tolerated now, but ethical standards were not as rigorous during the nineteenth century. His possible motives are explored shortly. *See infra* text accompanying notes 102–104.

<sup>68.</sup> At least one Senator made a similar point when Section Three was proposed. *See* CONG. GLOBE, 39th Cong., 1st Sess. 2900 (1866) (statement of Sen. Doolittle) ("[I]f by a constitutional amendment you impose a new punishment upon a class of offenders who are guilty of crime already, you wipe out the old punishment as to them ....").

<sup>69.</sup> See Davis, 7 F. Cas. at 91 (argument of Mr. Ould).

<sup>70.</sup> See id. at 90 (argument of Mr. Ould).

<sup>71.</sup> *See id.* at 92 (argument of Mr. Beach); *id.* at 95 (argument of Mr. Dana) (stating that "[t]he phraseology [of Section Three] is not that of penal or criminal law").

<sup>72.</sup> *Id.* at 92 (argument of Mr. Beach); *see id.* at 95 (argument of Mr. Dana) (making a similar point). Davis responded that this point was weak because ordinary citizens in the South were not being prosecuted and there was no chance that they would be. *See id.* at 98 (argument of Mr. O'Conor).

<sup>73.</sup> Id. at 95 (argument of Mr. Dana).

<sup>74.</sup> See id. at 92–94 (argument of Mr. Wells).

benefit from that constitutional provision.

The question of whether Section Three nullified the Davis treason prosecution was never resolved. Chief Justice Chase and District Judge John Underwood disagreed on this point and the issue was certified for appeal to the Supreme Court.<sup>75</sup> At the end of the Davis case report, there is a line that states: "THE CHIEF JUSTICE instructed the reporter to record him as having been of opinion on the disagreement, that the indictment should be quashed, and all further proceedings barred by the effect of the fourteenth amendment to the constitution of the United States."<sup>76</sup> Shortly thereafter, the question was rendered moot when President Johnson gave Davis a pardon.<sup>77</sup>

#### B. GRIFFIN'S CASE

The same judges who were at odds over Section Three's application to the treason case against Jefferson Davis also gave different readings to that provision as applied to Black defendants in Virginia. In 1868, Judge John Underwood granted writs of habeas corpus to three Black defendants who were tried and sentenced by state judges ineligible to sit because of Section Three.<sup>78</sup> Judge Underwood was an abolitionist who was named to the bench by President Lincoln and was despised by Virginia whites.<sup>79</sup> His rulings provoked alarm because they implied that many official acts taken in the former Confederate states following the ratification of the Fourteenth Amendment were null

79. See NICOLETTI, supra note 16, at 182–87; Donnally, supra note 16, at 1186–90. Judge Underwood is a controversial figure who was viewed by his detractors as corrupt and partisan. See 6A CHARLES FAIRMAN, THE OLIVER WENDELL HOLMES DEVISE: HISTORY OF THE SUPREME COURT OF THE UNITED STATES: RECONSTRUCTION AND REUNION 1864–1888, at 602 (1971) (calling Underwood a "wayward Judge"); JOHN NIVEN, SALMON P. CHASE: A BIOGRAPHY 434 (1995) (describing Underwood as a "corrupt and vengeful" judge). Without jumping into that debate, I would note that the now-discredited Dunning school of Reconstruction history, which was sympathetic to white supremacy, was quick to label anyone who fought hard for Black rights as corrupt. See Eric Foner, The Supreme Court and the History of Reconstruction—and Vice-Versa, 112 COLUM. L. REV. 1585, 1589 (2012); see also CHERNOW, supra note 13, at 856–57 (explaining that President Grant's reputation suffered due in part to corruption charges from pro-southern historians).

<sup>75.</sup> See id. at 102.

<sup>76.</sup> Id.

<sup>77.</sup> See LARSON, supra note 16, at 129; NICOLETTI, supra note 16, at 299-300.

<sup>78.</sup> See Griffin's Case, 11 F. Cas. 7 (C.C.D. Va. 1869) (No. 5,815); Connally, *supra* note 16, at 1190–91. The case report describes Judge Underwood's actions before reproducing the arguments of the lawyers and Chief Justice Chase's opinion.

and void. Virginia appealed one of the habeas corpus grants to Chief Justice Chase in his capacity as a circuit judge, and in 1869 the Chief Justice reversed Judge Underwood in *Griffin's Case*.<sup>80</sup>

Griffin's Case deserves close attention as the first major judicial opinion on the Fourteenth Amendment.<sup>81</sup> On the merits of the Section Three claim, the Chief Justice began with first principles: "What was the intention of the people of the United States in adopting the fourteenth amendment? What is the true scope and purpose of the prohibition to hold office contained in the third section?"82 Before answering these questions, Chase said that "a construction, which must necessarily occasion great public and private mischief, must never be preferred to a construction which will occasion neither, or neither in so great degree, unless the terms of the instrument absolutely require such preference."83 With that preface, the Chief Justice turned to consider "what consequences would spring from the literal interpretation" of Section Three, which was that all official acts performed by all ineligible officers after the Fourteenth Amendment was ratified were null and void.<sup>84</sup> His conclusion was that this view would cause chaos: "No sentence, no judgment, no decree, no acknowledgement of a deed, no record of a deed, no sheriff's or commissioner's sale-in short no official act-is of the least validity. It is impossible to measure the evils which such a construction would add to the calamities which have already fallen upon the people of these [ex-Confederate] states."85

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<sup>80.</sup> There is nothing distinctive about the facts of *Griffin's Case* except that Ceasar Griffin was a Black defendant convicted of a felony (shooting with intent to kill). *See Griffin's Case*, 11 F. Cas. at 22.

<sup>81.</sup> *Griffin's Case* was probably the first judicial opinion on the Fourteenth Amendment period, as my research discloses no prior published cases. I will hedge a bit though and say only that this was the first major Fourteenth Amendment opinion. The only secondary source that gives *Griffin's Case* its due is Professor Fairman's volume on Reconstruction in the Oliver Wendell Holmes Jr. Devise. *See* FAIRMAN, *supra* note 79, at 603–07. But his discussion was (in my view) unfairly slanted against Judge Underwood and in favor of Chief Justice Chase.

<sup>82.</sup> *Griffin's Case*, 11 F. Cas at 24. Prior to addressing the merits, Chase concluded that the federal habeas corpus statute did apply to Griffin. *See id.* at 23–24.

<sup>83.</sup> *Id.* at 24.

<sup>84.</sup> See id.

<sup>85.</sup> *Id.* at 25. In this portion of the opinion, the Chief Justice made two speculative claims. First, he said that some men covered by Section Three might now be officials in Northern states and that their official acts would also be invalid. *See id.* This claim was farfetched, as ex-Confederates were not plausible candidates for office in the North in 1869 and Chase provided no examples. Second, he offered that Section Three would invalidates the official acts of men who gave aid and comfort to the enemy during the Mexican-

After addressing the practical aspects of Section Three, Chase next turned to jurisprudential considerations. He said that the preferred reading of a text was one "which best harmonizes the amendment with the general terms and spirit of the act amended. This principle forbids a construction of the amendment, not clearly required by its terms, which will bring it into conflict or disaccord with the other provisions of the constitution."<sup>86</sup> He then commented that Section Three was the "only punitive section" in the Fourteenth Amendment, and that "in the judgement of some enlightened jurists, its legal effect was to remit all other punishment."<sup>87</sup> "Enlightened jurists" was code for the Chief Justice himself in the Davis case, as no other judge made such a claim about Section Three.<sup>88</sup> Chase stated that "those provisions of the constitution which denv to the legislature power to deprive any person of life, liberty, or property, without due process of law, or to pass a bill of attainder or an expost facto, are inconsistent in their spirit and general purpose with a provision [Section Three] which, at once without trial, deprives a whole class of persons of offices held by them."89 Though the Chief Justice acknowledged that "no limit can be imposed on the people when exercising their sovereign power in amending their own constitution of government ... it is a necessary presumption that the people in the exercise of that power, seek to confirm and improve, rather than to weaken and impair the general spirit of the constitution."90

The Chief Justice then offered a solution to the practical and legal difficulties he saw in giving Section Three a literal interpretation: Section Three was not self-executing in a federal case.<sup>91</sup> The text excluded "from certain offices a certain class of

91. The Chief Justice was not denying states the power to enforce Section Three on

American War. See *id.* Again, however, this seems fanciful, as there were only a few examples of treason by Americans during that war and none of them were likely to be public officials in the late 1860s.

<sup>86.</sup> Id.

<sup>87.</sup> Id. at 25-26.

<sup>88.</sup> The Davis case is the only one in which Section Three was used as a criminal defense, as that was at Chase's suggestion. *See supra* text accompanying note 67.

<sup>89.</sup> *Griffin's Case*, 11 F. Cas. at 26.

<sup>90.</sup> Id. One important observation about *Griffin's Case* is that the Chief Justice never questioned the validity of the Fourteenth Amendment. In other words, he did not say anything about the procedural irregularities surrounding the proposal and ratification of that part of the Constitution (for example, the exclusion of the ex-Confederate States from Congress when the Fourteenth Amendment was proposed). This set the template for all subsequent judicial decisions on the Fourteenth Amendment.

persons. Now, it is obviously impossible to do this by a simple declaration . . . it must be ascertained what particular individuals are embraced by the definition, before any sentence of exclusion can be made to operate."92 "To accomplish this ascertainment and ensure effective results," he wrote, "proceedings, evidence, decisions, and enforcements of decisions, more or less formal, are indispensable; and these can only be provided for by congress."93 Chase also said that Section Three could not be self-executing because its language about Congress removing disabilities implied that Congress must act to impose them.<sup>94</sup> The Chief Justice then noted that Congress did not implement Section Three in Virginia until February 1869, when a Joint Resolution was passed ordering the military commanders there to remove ineligible officers who had not received amnesty from Congress.<sup>95</sup> The habeas petitions granted by Judge Underwood all predated the Joint Resolution, which meant that the grants were erroneous because the relevant trial judges were not ineligible.<sup>96</sup>

There are many problems with Chief Justice Chase's conclusion, but chief among them was that his position in *Griffin's Case* contradicted his position in the Davis case. Jefferson Davis contended that Section Three was self-executing and that the absence of legislation on that subject for Virginia (as of December 1868) did not defeat his treason defense.<sup>97</sup> The Chief Justice must have agreed with this position, otherwise he could not have concluded as he did that Section Three applied to Davis and rendered his treason prosecution unconstitutional. How could Section Three be self-executing for Jefferson Davis but not self-executing for Black defendants in the same place at the same time?<sup>98</sup> The Chief Justice offered no explanation, and my opinion

93. Id.

98. An alternative ground of decision that Chief Justice Chase noted but did not reach was that being tried and sentenced by an ineligible judge was a form of harmless

their own. First, he was discussing federal cases under a writ of habeas corpus. Second, Virginia at the time was an unreconstructed state (in other words, not yet readmitted to Congress) and so there was no legitimate state government there that could act.

<sup>92.</sup> Griffin's Case, 11 F. Cas. at 26.

<sup>94.</sup> See id.

<sup>95.</sup> *See id.* at 26–27; Res. No. 8, 40th Cong., 15 Stat. 344 (1869) (stating that "the provisions of this resolution shall not apply to persons who by reason of the removal of their disabilities as provided in the fourteenth amendment to the Constitution").

<sup>96.</sup> See Griffin's Case, 11 F. Cas. at 27.

<sup>97.</sup> See Case of Davis, 7 F. Cas. 63, 90 (C.C.D. Va. 1867) (No. 3,621a) (argument of Mr. Ould); *id.* at 92–94 (argument of Mr. Wells) (responding on behalf of the Government to this point).

is that this pair of results is simply illogical and cannot be explained by legal analysis. But which one of the two decisions reached the correct conclusion?

On balance, Chase's claim that Section Three was not selfenforcing is unpersuasive. First, Section Three contains the same mandatory language ("No person shall . . .") as Section One ("No state shall ...."), and there is no doubt that Section One is selfexecuting.99 Second, nothing indicates that Congress saw Section Three as anything other than self-executing when the Fourteenth Amendment was drafted.<sup>100</sup> Third, the practical problems that the Chief Justice sought to avoid were based on speculation, as there was no proof about how many ineligible officials were in Virginia during the relevant period. Fourth, the inconsistency between the 1787 Constitution's criminal law provisions (for example, the Ex Post Facto Clause) and Section Three occur only if Section Three is characterized as a punishment, which is not the only plausible reading. Finally, the fact that Congress legislated about Section Three did not (as the Chief Justice said at one point) strongly imply that Section Three required legislation.<sup>101</sup>

How, then, can we explain Chief Justice Chase's flawed analysis and inconsistency? The first thought might be that he simply treated a white defendant (Davis) differently from a Black defendant (Griffin). But Chase was one of America's greatest antislavery lawyers, and his record refutes any inference of racial animus.<sup>102</sup> Some scholars argue that Chase harbored presidential

102. For an excellent popular history on Chase's background in the antislavery moment, see DORIS KEARNS GOODWIN, TEAM OF RIVALS: THE POLITICAL GENIUS OF

error. See Griffin's Case, 11 F. Cas. at 27. Remarkably, he wrote that "the judges of the supreme court . . . unanimously concur in the opinion that a person convicted by a judge de facto acting under color of office, though not *de jure*, and detained in custody in pursuance of his sentence, cannot be properly discharged upon habeas corpus." *Id.* The authority for this dictum was not clearly explained, though Virginia had moved in the Supreme Court for a writ of prohibition against Judge Underwood. *See id.* at 8.

<sup>99.</sup> Chief Justice Chase did not comment in *Griffin's Case* on whether any parts of the Fourteenth Amendment were self-executing, but no subsequent decision denied that Section One was self-executing.

<sup>100.</sup> To conclude otherwise would mean that Section Three applied in some states but not in others until the broad enforcement provisions in the First Ku Klux Klan Act were enacted in 1870. Before that point, Congress enforced Section Three in a haphazard way that would be hard to explain on the ground that they wanted the provision enforced in some places but not in others.

<sup>101.</sup> See Griffin's Case, 11 F. Cas. at 26–27. In general, enacting enforcement legislation does not imply that legislation is required. Nor did the enforcement provision in Section Five of the Fourteenth Amendment imply that the other sections were not self-executing. If so, then Section One could also be read as not self-executing.

ambitions and that his actions in the Davis trial are best seen as furthering those goals rather than as applying the law fairly.<sup>103</sup> A third idea from these scholars is that the Chase was concerned that white Southerners would not accept the legitimacy of the Fourteenth Amendment, and so he applied the text to help the ex-Confederate President and not to help freed slaves, as a way of convincing skeptical whites to accept the text.<sup>104</sup>

While one or more of these extrajudicial explanations may work, there were jurisprudential ideas at play in Griffin's Case. The thrust of the Chief Justice's opinion was that the Fourteenth Amendment should not be read as a revolutionary change, both in practical terms and in relation to the 1787 Constitution.<sup>105</sup> The desire to tame new constitutional text reflects a cautious judicial instinct that was reiterated by the Supreme Court in its first Fourteenth Amendment decision-the Slaughter-House Cases.<sup>106</sup> The Court there declined to read Section One as "so great a departure from the structure and spirit of our institutions" that "radically changes the whole theory of the relations of the State and Federal governments to each other and of both these governments to the people."<sup>107</sup> In *Griffin's Case*, the broad legal change to be avoided was the imposition of what Chief Justice Chase saw as a bill of attainder, an expost facto law, or a violation of due process, whereas in Slaughter-House the concern was states' rights.<sup>108</sup> In both cases, however, there was a concerted effort to limit the Fourteenth Amendment in favor of the principles of the 1787 Constitution, which continued after Slaughter-House.<sup>109</sup> The problem with this approach is that the Fourteenth Amendment was a radical text in many respects, which sometimes made a cautious reading wrong. With respect to

ABRAHAM LINCOLN 108–16 (2005).

<sup>103.</sup> See LARSON, *supra* note 16, at 126–28; NICOLETTI, *supra* note 16, at 194–95, 293– 94. Similar political allegations were made about how the Chief Justice handled President Andrew Johnson's impeachment trial. See STEWART, *supra* note 36, at 178–79.

<sup>104.</sup> See NICOLETTI, supra note 16, at 295–96; Davis, supra note 16, at 74.

<sup>105.</sup> The same desire to avoid rocking the boat might explain the Chief Justice's reading of Section Three in Jefferson Davis's case, where caution counseled against a divisive treason trial.

<sup>106. 83</sup> U.S. (16 Wall.) 36 (1873).

<sup>107.</sup> *Id.* at 78. Chief Justice Chase did dissent in *Slaughter-House. See id.* at 111 (Field, J., dissenting) (stating that the Chief Justice joined his opinion).

<sup>108.</sup> There was no federalism issue as such in *Griffin's Case* because in 1869 Virginia was still an unreconstructed state under federal military rule.

<sup>109.</sup> See, e.g., The Civil Rights Cases, 109 U.S. 3 (1883); United States v. Cruikshank, 92 U.S. 542 (1876).

Section Three, Judge Underwood was more consistent and more faithful to the text than Chief Justice Chase.

A second inescapable thought is that Griffin's Case (in combination with Davis's case) heralded a Fourteenth Amendment that would be read more favorably for whites than for Blacks. Perhaps that was an inevitable consequence of downplaying the radical nature of Reconstruction. Another explanation, though, is that the Chief Justice approached Section Three as a provision about legal neutrality rather than about fighting the Slave Power and white supremacy. Seen in that light, Section Three could be read to aid the leader of the Slave Power and to reject the claims of a Black defendant. Whether the Fourteenth Amendment should be viewed as embodying an "anticlassification" an "anti-subordination" principle or is commonplace in modern commentary, but the roots of that dispute are found in Griffin's Case and in the subsequent debate over Section Three amnesty.<sup>110</sup>

### C. THE FIRST KU KLUX KLAN ACT AND ZEBULON VANCE

A year after *Griffin's Case* was decided, Congress took action to enforce Section Three more generally.<sup>111</sup> In response to white supremacist violence and voter intimidation throughout the South, Congress enacted the First Ku Klux Klan Act (also known as the Enforcement Act of 1870) to protect voting rights recently guaranteed by the Fifteenth Amendment.<sup>112</sup> Two sections of that statute focused on removing ineligible officials who might be obstructing Black voting.<sup>113</sup> Section Fourteen of the Act said that

<sup>110.</sup> See, e.g., Reva B. Siegel, From Colorblindness to Antibalkanization: An Emerging Ground of Decision in Race Equality Cases, 120 YALE L.J. 1278, 1282–83 (2011); infra text accompanying notes 141–143, 156.

<sup>111.</sup> There is no indication that Congress was responding directly to Chief Justice Chase's opinion, as I can find no references to *Griffin's Case* in the *Congressional Globe*.

<sup>112.</sup> See First Ku Klux Klan Act, ch. 114, 16 Stat. 140 (1870). This enforcement authority was repealed in the 1940s. After the violence at the Capitol, legislation was introduced to create new Section Three enforcement authority. See H.R. 1405, 117th Cong. (2021).

<sup>113.</sup> Not much was said about the Section Three provisions. One Senator criticized them for turning ex-Confederate leaders into martyrs. *See* CONG. GLOBE, 41st Cong., 2d Sess. 3661 (1870) (statement of Sen. Sawyer) ("If the public sentiment of Mississippi or Georgia is so thoroughly disloyal as to render the election of men like these probable, then our reconstruction is a failure, and time only will relieve us from the evils legislation has sought in vain to cure. But if, as I believe, the vast majority of the people of those States care little for Jefferson Davis . . . or any other rebel leader, except as they are compelled to think of them as under the ban of ineligibility to public office, when you remove from

federal prosecutors had a duty to bring *quo warranto* actions against state executive officials and judges covered by Section Three.<sup>114</sup> *Quo warranto* (literally, by what warrant) was a common-law writ challenging an officeholder's right to hold his position.<sup>115</sup> Section Fourteen also provided that any *quo warranto* actions should receive priority on the docket of a federal court.<sup>116</sup> Lastly, Section Fifteen of the Act declared that any person who knowingly held an office while ineligible due to Section Three was guilty of a misdemeanor and subject to a year in prison or a \$1,000 fine.<sup>117</sup>

The Grant Administration responded by filing *quo warranto* actions and bringing indictments under the Klan Act.<sup>118</sup> The best information on these proceedings comes from Tennessee, where both types of cases were brought against the state Attorney General and three state Supreme Court Justices.<sup>119</sup> One of the

115. See, e.g., Newman v. United States ex rel. Frizzell, 238 U.S. 537, 544-45 (1915).

116. See First Ku Klux Klan Act, § 14 ("[A]ny writ of quo warranto so brought ... shall take precedence of all other cases on the docket of the court to which it is made returnable, and shall not be continued unless for cause proved to the satisfaction of the court.").

117. See id. § 15 ("[A]ny person who shall hereafter knowingly accept or hold any office under the United States, or any State to which he is ineligible under the third section of the fourteenth article of amendment of the Constitution of the United States, or who shall attempt to hold or exercise the duties of any such office, shall be deemed guilty of a misdemeanor against the United States, and, upon conviction thereof before the circuit or district court of the United States, shall be imprisoned not more than one year, or fined not exceeding one thousand dollars [\$1,000], or both, at the discretion of the court.").

118. See Elliott, supra note 10, at 24–26.

119. See id. at 25. The cases were widely covered by local newspapers. See, e.g., The Supreme Court and the Fourteenth Amendment to be Enforced, KNOXVILLE CHRON., Oct.

them the badge of distinction which they wear and parade they will sink into that insignificance which is the common fate of the defeated leaders of a lost cause."). Another Senator rejected the idea of Section Three amnesty, stating: "For my part it appears to me time enough to talk of amnesty when the Kuklux organization shall have ceased to exist and everybody, whether exalted or humble may enjoy the pursuit of peace and happiness without molestation." *Id.* at 3669 (statement of Sen. Spencer).

<sup>114.</sup> See First Ku Klux Klan Act, § 14 ("[W]henever any person shall hold office, except as a member of Congress or of some State legislature, contrary to the provisions of the third section of the fourteenth article of amendment of the Constitution of the United States, it shall be the duty of the district attorney of the United States for the district in which such person shall hold office ... to proceed against such person, by writ of quo warranto, returnable to the circuit or district court of the United States in such district, and to prosecute the same to the removal of such person from office ...."). Members of Congress were excluded because, as we shall see in a moment, each House was fully capable of excluding a member-elect who was ineligible under Section Three. See infra text accompanying notes 124–126. State legislators were not included because they presumably did not pose (or seem to pose) the kind of threat to voting rights that executive officials or judges did.

ineligible Justices resigned, but the others remained and the actions against them were dismissed once Congress granted broad Section Three amnesty in 1872.<sup>120</sup> Elsewhere in the South, the record on the Administration's enforcement effort is sketchy and merits more research.<sup>121</sup> In many instances, the relevant official may have simply quit rather than risk criminal sanctions, and a resignation would not have typically left behind traces. Nevertheless, there is one reported Section Three criminal case from the federal circuit court in North Carolina,<sup>122</sup> and one newspaper report in Virginia on the dismissal of an ineligible postmaster there.<sup>123</sup>

Meanwhile, the Senate itself enforced Section Three by refusing to seat a member-elect on the ground that he was ineligible. Zebulon Vance was the wartime Governor of North Carolina and was elected by the State Legislature to the Senate in 1871.<sup>124</sup> The Senate excluded Vance, who had served in the House of Representatives before the Civil War and was thus subject to Section Three.<sup>125</sup> The seat remained vacant for about a year

<sup>23, 1870,</sup> at 1; *Political Disabilities – The Question in Federal Court*, NASHVILLE UNION & AM., Oct. 20, 1870, at 2; *Enforcing the Fourteenth Amendment*, FAYETTEVILLE OBSERVER, Oct. 13, 1870, at 2.

<sup>120.</sup> See Elliott, supra note 10, at 26.

<sup>121.</sup> Most of the available information on the Section Three litigation comes from newspaper accounts. I am uncertain if the online records of Tennessee papers from that period are simply better than for other ex-Confederate States or if Tennessee for some reason was a focal point for Section Three enforcement. Due to the pandemic, I could not conduct newspaper research that went beyond online sources.

<sup>122.</sup> See United States v. Powell, 27 F. Cas. 605 (C.C.D. N.C. 1871) (No. 16,079) (charging a jury that Section Three's language on "engaged in insurrection or rebellion" required a voluntary act by the official who was allegedly disqualified). John Bingham said that there were seventy pending criminal cases across the country as of December 1870 and that he was opposed to granting amnesty to any of those men. See CONG. GLOBE, 41st Cong., 3d Sess. 204 (1870) (statement of Rep. Bingham). The Amnesty Act of 1872, though, did not exclude those who were being prosecuted under Section 15 of the First Ku Klux Klan Act.

<sup>123.</sup> See A Case Under the Fourteenth Amendment, RICHMOND DAILY DISPATCH, June 7, 1871, at 1.

<sup>124.</sup> See, e.g., CLEMENT DOWD, LIFE OF ZEBULON B. VANCE 218 (Charlotte, N.C., Observer Printing & Publ'g House, 1897) (describing Vance's exclusion by the Senate due to Section Three of the Fourteenth Amendment). The House of Representatives voted not to exclude a member-elect who was challenged on Section Three grounds. See Myles S. Lynch, Disloyalty & Disqualification: Reconstructing Section 3 of the Fourteenth Amendment, 30 WM. & MARY BILL RTS. J. (forthcoming 2021) (manuscript at 53–54), https://papers.ssrn.com/sol3/papers.cfm?abstract\_id=3749407.

<sup>125.</sup> See BLAINE, supra note 3, at 531 n.1. It is unclear if the North Carolina Legislature selected Vance hoping that Congress would remove his disability or did so as a defiant rejection of the Fourteenth Amendment, though Blaine thought the latter was

before Vance resigned as Senator-elect, evidently concluding (incorrectly) that no congressional amnesty was forthcoming.<sup>126</sup> When Horace Greeley won the Democratic presidential nomination in 1872, he dwelt on Vance's exclusion to call for universal Section Three amnesty as part of his campaign against President Grant.<sup>127</sup>

Accordingly, Section Three was highly visible after the Fourteenth Amendment was ratified as a tool to reorder politics in the ex-Confederate States. At the same time, though, *Griffin's Case* suggested that the sweeping implications of Section Three might lead to buyer's remorse from northern whites. That moment soon arrived.

#### PART III. FORGIVENESS

This Part reviews Congress's decision to remove most of the Section Three disabilities in 1872. Though amnesty partly resulted from fatigue in the North with ongoing sectional strife, President Grant and Congress also concluded that Section Three was not helping Reconstruction and could be making matters worse by giving white Southerners an excuse to aid the Ku Klux Klan. But Senator Charles Sumner brought the amnesty bill to a halt by proposing a sweeping civil rights amendment that would have barred racial segregation in churches, public schools, and many businesses.<sup>128</sup> The ensuing discussion was notable in part due to the participation of the first Black Representatives, who brought their distinctive (if tragic) voices to the debate before acquiescing in unilateral mercy for whites.<sup>129</sup>

the truth. See id.

<sup>126.</sup> After amnesty was granted, Vance was elected to the Senate in 1879 and served until his death in 1894. *See* ANNE M. BUTLER & WENDY WOLFF, UNITED STATES SENATE ELECTION, EXPULSION, AND CENSURE CASES, 1793–1990, at 169 (1995); *see also* BLAINE, *supra* note 3, at 641 (noting Vance's election in 1878).

<sup>127.</sup> See BLAINE, supra note 3, at 531 n.1; *id.* at 641 (stating that Vance became well known in the North due to Greeley's references to the exclusion "as an illustration of Republican bigotry"); see also CHERNOW, supra note 13, at 741–44 (describing Greeley's background and swerve in favor of amnesty heading into the campaign).

<sup>128.</sup> See DONALD, supra note 12, at 531 (describing the substance of Sumner's amendment); McConnell, supra note 12, at 1049–54 (describing the procedural history of Sumner's amendment).

<sup>129.</sup> See BLAINE, supra note 3, at 515 ("The colored representatives, who had been slaves, were willing to release their late masters from every form of disability, but the immediate friends of the masters were unwilling to extend the civil rights of the colored man. So far as chivalry, magnanimity, charity, Christian kindness, were involved, the colored men appeared at an advantage."); CHERNOW, supra note 13, at 703 (noting that

#### A. THE GROWING CALL FOR AMNESTY

Amnesty was on the table before the Fourteenth Amendment was even ratified. In June 1868, Congress enacted legislation to remove Section Three disabilities from about 1,000 men, including a Representative-elect from Tennessee.<sup>130</sup> The Republican Party platform in 1868 stated: "[W]e favor the removal of the disqualifications and restrictions imposed upon the late rebels, in the same measure as the spirit of disloyalty will die out, and as may be consistent with the safety of the loval people."<sup>131</sup> Until 1872, Congress relied on private bills to remove Section Three disabilities from thousands of individuals.<sup>132</sup> According to James G. Blaine, the unwritten rule was that "everyone who asked for [amnesty], either through himself or his friends, was freely granted remission of penalty."<sup>133</sup> Using private bills for Section Three exceptions was criticized, though, on the ground there was no principle at work except political favoritism.<sup>134</sup> Moreover, the sheer number of personal amnesty requests soon overwhelmed Congress and led to calls for general Section Three amnesty legislation.<sup>135</sup> As John Bingham told the

131. See 1 NATIONAL PARTY PLATFORMS, supra note 11, at 40 (quoting the 1868 Republican Platform). By contrast, the 1868 Democratic Platform called for "[a]mnesty for all past political offenses." *Id.* at 37.

132. *See, e.g.*, Priv. Act of December 14, 1869, ch. 1, 16 Stat. 607. The practice prior to 1872 was that Section Three relief came in the form of legislation that was signed by the President.

133. BLAINE, *supra* note 3, at 512; *see id.* ("[D]uring the two years [of the Forty-first Congress] thirty-three hundred participators in the rebellion—among them some of the most prominent and influential—were restored to the full privileges of citizenship ....").

six Black Congressman were elected in 1870) see infra text accompanying notes 144–148, 182–186.

<sup>130.</sup> See Act of June 25, 1868, ch. 83, 15 Stat. 361, 361–67; Act of June 19, 1868, ch. 62, 15 Stat. 360 (removing disabilities from Roderick R. Butler of Tennessee); BLAINE, *supra* note 3, at 512. A fair question here is how Congress could remove disabilities before Section Three was ratified, but that can be chalked up as one more anomaly among many with respect to the Fourteenth Amendment's proposal and ratification.

<sup>134.</sup> See CONG. GLOBE, 42d Cong., 2d Sess. 240 (1871) (statement of Sen. Stewart) ("It is impossible for Congress to investigate and pass upon the cases of individuals with any degree of fairness and impartiality."); cf. CONG. GLOBE, 42d Cong., 1st Sess. 3180 (1872) (statement of Sen. Boreman) ("It seems as if we were not treating these persons all alike when the behavior, the conduct of the latter [who did not receive an exemption], is as good as that of the former [who did receive an exemption].").

<sup>135.</sup> See CONG. GLOBE, 42d Cong., 2d Sess. 241 (1871) (statement of Sen. Hill) (explaining that point of view); BLAINE, *supra* note 3, at 512–13 ("The impossibility of examining into the merits of individuals by tens of thousands, and of establishing the quality and degree of their offenses, was so obvious that representatives on both sides of the House demanded an Act of general amnesty, excepting therefrom only the few classes whose names would lead to discussion and possibly to the defeat of the beneficent

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House of Representatives in December 1870: "The question now, is, whether we shall not take another step forward and remove the disabilities of all persons to hold office, provided the people choose to elect them to office, save those who were the chiefs in organizing and aiding the rebellion."<sup>136</sup>

The momentum for amnesty was also in reaction to a white terror campaign in the South. In 1871, Congress enacted the Second Ku Klux Klan Act and suspended the writ of habeas corpus in some portions of the former Confederacy.<sup>137</sup> One could argue that the sticks being wielded to defeat this latest insurrection should be coupled with the carrot of Section Three relief to persuade white elites to stop supporting the Klan. Members of Congress argued that Section Three should be neutered because the exclusions were accomplishing nothing or were exacerbating white anger in the South.<sup>138</sup> Meanwhile, a faction of "Liberal Republicans" supported amnesty as part of a broader critique of Reconstruction that, in essence, called on President Grant to end his support for the freed slaves and allow ante-bellum elites to regain power in the South.<sup>139</sup> Not surprisingly, some Republicans firmly disagreed with that sentiment and contended that violent white resistance should not be rewarded.<sup>140</sup>

measure.").

<sup>136.</sup> CONG. GLOBE, 41st Cong., 3d Sess. 203 (1870) (statement of Rep. Bingham). Bingham estimated that about 20,000 people were still subject to Section Three. *See id.* 

<sup>137.</sup> See Act of Feb. 28, 1871, ch. 99, 16 Stat. 433; AMANDA L. TYLER, HABEAS CORPUS IN WARTIME: FROM THE TOWER OF LONDON TO GUANTANAMO BAY 199–207 (2017).

<sup>138.</sup> See CONG. GLOBE, 42d Cong., 1st Sess. 63 (1871) (statement of Rep. Farnsworth) ("We have had these disqualifications existing for a great length of time. Disorders have not ceased in consequence. Will, then, the continuance of these disqualifications help to restore order? I think not."); *id.* at 103 (statement of Rep. Buckley) ("Mr. Speaker, we never can put down violence and outrage in the South by the mere continuance of political disabilities."); *id.* (statement of Rep. Blair) ("I would appeal to the Republicans of this House; I would appeal to the colored Representatives here to say why the withholding of this measure today, refusing to remove these disabilities, will remedy the evil of which they speak in the southern States?").

<sup>139.</sup> See DONALD, supra note 12, at 517–18, 529–30; cf. MAGLIOCCA, supra note 51, at 164 (explaining that the rift in the Republican Party contributed to John Bingham's defeat in his 1872 bid for another term in the House of Representatives).

<sup>140.</sup> See CONG. GLOBE, 42d Cong., 1st Sess. 105 (1871) (statement of Rep. Hoar) ("[I]t is said that it is necessary to adopt this bill for the pacification of the South. But, on the contrary, does not the experience of the past five years show that just as fast and just in proportion as we have relieved disabilities, just so fast these outrages and murders of loyal citizens have increased?").

One noteworthy feature of this unfolding conversation was the claim by amnesty proponents that Section Three was in tension with Section One of the Fourteenth Amendment. When a North Carolina sheriff attempted to challenge his exclusion in the Supreme Court, he said that Section Three "is an assault upon an immunity and privilege granted to us by the 1st section of that same amendment."<sup>141</sup> More than one Representative put the issue in terms of equal protection, declaring that "we ought first of all things to put all men, white as well as black, upon terms of equality before the law ... If there be anything which will put down the disturbances which are said to exist in the southern States it will be the full and perfect restoration to all men of equal rights and privileges."142 Section Three applied to only white men and did take away a basic right from them, though for obvious cause. The idea that equality justified Section Three amnesty rested in part on a premise that the Fourteenth Amendment should be read more as an anti-classification text than as an anti-subordination text, as may be implied by Chief Justice Chase's reasoning in Davis and in Griffin's Case.<sup>143</sup>

Robert B. Elliott, one of the first Black men elected to Congress, issued a strong challenge to that premise. Elliott emigrated to the United States in the 1860s, but quickly established himself as a leading Republican in South Carolina.<sup>144</sup> Upon his arrival in the House of Representatives in March 1871, Elliott jumped into the fray immediately with a speech stating that removing Section Three disabilities was "nothing but an attempt to pay a premium for disloyalty and treason at the expense of loyalty."<sup>145</sup> He ridiculed equating the "disenfranchised old man and his servant, or slave, who today holds office or may do so."<sup>146</sup>

<sup>141.</sup> Worthy v. Commissioners, 76 U.S. (9 Wall.) 611, 613 (1869). The Court dismissed the sheriff's appeal because no constitutional challenge was raised in state court. *See id.* ("[T]his right does not appear to have been set up, or specially claimed in the State court; and this is essential to jurisdiction here.").

<sup>142.</sup> CONG. GLOBE, 42d Cong., 1st Sess. 62 (1871) (statement of Rep. Beck); *see id.* at 41st Cong., 3d Sess. 203 (1870) (statement of Rep. Bingham) (arguing that the Equal Protection Clause was inconsistent in spirit with maintaining Section Three disabilities for most ex-Confederates).

<sup>143.</sup> See supra text accompanying note 110.

<sup>144.</sup> See generally PEGGY LAMSON, THE GLORIOUS FAILURE: BLACK CONGRESSMAN ROBERT BROWN ELLIOTT AND THE RECONSTRUCTION IN SOUTH CAROLINA (1973). Elliott served in the state's constitutional convention and was elected to the House before he turned 30.

<sup>145.</sup> CONG. GLOBE, 42d Cong., 1st Sess. 102 (1871) (statement of Rep. Elliott). 146. *Id.* 

To grant relief to that "poor old man," Elliott explained, would be "taken as evidence of the fact that this Congress desires to hand over the loyal men of the South to the tender mercies of the rebels who today are murdering and scourging the loyal men of the southern States."<sup>147</sup> He concluded on a personal note: "I speak not today in behalf of the colored loyalists of the South alone . . . I represent here a constituency composed of men whose complexions are like those of gentlemen around me as well as men whose complexions are similar to my own."<sup>148</sup> For a House of Representatives that lacked a Black member until 1871, Elliott's statement on the Fourteenth Amendment was a remarkable moment. Nevertheless, the House passed a partial amnesty bill by a greater than two-thirds margin.<sup>149</sup>

Months after the House acted, President Grant issued his Annual Message (the term then used for the State of the Union) and declared his support to amnesty. "More than six years having elapsed since the last hostile gun was fired between the armies then arrayed against each other," the President said, "it may well be considered whether it is not now time that the disabilities imposed by the fourteenth amendment should be removed."<sup>150</sup> "When the purity of the ballot is secure," he said, "majorities are sure to elect officers reflecting the views of the majority. I do not see the advantage or propriety of excluding men from office merely because they were before the rebellion of standing and character sufficient to be elected to positions requiring them to take oaths to support the Constitution, and admitting to eligibility those entertaining precisely the same views, but of less standing in their communities."<sup>151</sup> Grant concluded that "[i]f there are any great criminals, distinguished above all others for the part they took in opposition to the Government, they might, in the judgment of Congress, be excluded from such an amnesty."152

<sup>147.</sup> Id.

<sup>148.</sup> Id. at 103 (statement of Rep. Elliott).

<sup>149.</sup> *See id.* at 562–63. The House bill contained exceptions that were modified before the final legislation was enacted a year later. *See* BLAINE, *supra* note 3, at 512 (describing the House bill).

<sup>150.</sup> Ulysses S. Grant, Third Annual Message (Dec. 4, 1871), in 9 MESSAGES, supra note 11, at 4096, 4107.

<sup>151.</sup> *Id.*; *see id.* ("It may be said that the former violated an oath, while the latter did not; the latter did not have it in their power to do so. If they had taken this oath, it cannot be doubted they would have broken it as did the former class.").

<sup>152.</sup> *Id.* The President's reference to criminals is some support for the view that Section Three was a punishment rather than a qualification.
The President wisely read Northern public opinion with an eye toward the upcoming election, but his position also reflected a shift in attitude about the nature of representation. Section Three can be read as saying that there was an "advantage or propriety" in excluding men from office because of their personal attitudes. President Grant took the view that elected representatives were not independent and just registered the views of their constituents: "Majorities are sure to elect officers reflecting the views of the majority." As one House member stated: "You cannot prevent any idea being represented by keeping out of office any particular man or set of men. We all know that."<sup>153</sup> But the Framers of the Fourteenth Amendment did not know that or take that position. Experience from 1866 and 1871 taught that lesson, but that reality did not necessarily mean that the Section Three disabilities were unwarranted.

Following the President's endorsement, the Senate took up Section Three legislation. Some Republicans continued to dismiss amnesty, with one saying that this would not "change the spirit of the rebels and secure their support to the Government."<sup>154</sup> Another replied that Section Three disabilities should be removed because they "created great irritation and accomplished very little good."155 Senator Trumbull made the equality argument for amnesty, stating that all believed "in equality among the citizens of this country. Now here is a bill placing upon an equality, so far as the right to hold office is concerned, those who have been disgualified by reason of their participation in the rebellion."156 Another Senator took a more pragmatic view: "I shall vote for this bill; not as a measure of justice to the South or of equality among citizens. I vote for it as a safe and sound measure of public policy ... In passing this bill the less we say about justice or equality the better."<sup>157</sup>

<sup>153.</sup> CONG. GLOBE, 42d Cong., 1st Sess. 62 (1871) (statement of Rep. Beck).

<sup>154.</sup> CONG. GLOBE, 42d Cong., 2d Sess. 239 (1871) (statement of Sen Buckingham); *see id.* ("Let us not, then, attempt to secure tranquility by taking counsel of our enemies, or by making haste to restore them to positions to power.").

<sup>155.</sup> Id. at 240 (statement of Sen. Stewart).

<sup>156.</sup> *Id.* at 245 (statement of Sen. Trumbull); *see id.* at 246 (statement of Sen. Alcorn) ("[A]n inequality clearly upon its face does there exist and is there maintained by the Congress of the United States.").

<sup>157.</sup> *Id.* at 248 (statement of Sen. Wilson); *see id.* at 279 (statement of Sen. Kellogg) ("The passage of an amnesty bill like the one under consideration will strengthen [Republicans] in the South, if for no other reason [than] because it will take from the Democratic party the strongest argument that they can use against us ....").

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#### **B.** THE CIVIL RIGHTS AMENDMENT

One Senator who was eager to say more about justice and equality was Charles Sumner. Famed for his antislavery stance and tireless work on behalf of racial equality, Senator Sumner announced in December 1871 that he would propose an amendment to the amnesty bill that guaranteed civil rights for the freed slaves that went beyond the Civil Rights Act of 1866.<sup>158</sup> The Sumner amendment prohibited discrimination on the basis of race by common carriers, innkeepers, theaters, churches, public schools, juries and cemeteries.<sup>159</sup> "[N]ow that it is proposed that we should be generous to those who were engaged in the rebellion," he told the Senate, "I insist upon justice to the colored race everywhere throughout this land.<sup>7160</sup> In part, Sumner was employing a time-honored tactic of attaching something to a popular bill with the thought that the entire bill would pass. The problem was that an amnesty bill was a special act requiring a twothirds vote to pass rather than a simple majority. But Sumner's effort to put his civil rights bill on the floor was stymied by the Senate Judiciary Committee, so amending the Section Three bill was his only realistic option.<sup>161</sup>

More important, Sumner explained that there was a logical connection between extending civil rights for Blacks and removing disabilities for whites. In Sumner's first major speech on the amendment, he stated: "Each is the removal of disabilities, and each is to operate largely in the same region of country. Nobody sincerely favoring generosity to rebels should hesitate in justice to the colored race. According to the maxim in chancery, 'Whoso would have equity must do equity."162 Furthermore, "[e]ach is a measure of reconciliation, intended to close the issues of the war; but these issues are not closed unless each is

<sup>158.</sup> See id. at 240 (statement of Sen. Sumner); see also Civil Rights Act of 1866, ch. 31, 14 Stat. 27, 27-30 (prohibiting discrimination against Blacks with respect to core common-law rights such as contracts and property ownership).

<sup>159.</sup> CONG. GLOBE, 42d Cong., 2d Sess. 243-44 (1871). This Article does not discuss the ins-and-outs of Sumner's civil rights proposal apart from its relationship to amnesty. 160. Id. at 240 (statement of Sen. Sumner).

<sup>161.</sup> See McConnell, supra note 12, at 1049–52 (describing the proposal and the Senate Judiciary Committee's opposition). The Senate first debated whether the amendment was germane before concluding that the answer was yes. See id. at 1053-54. Then the amendment was voted down by one vote. See id. at 1054. Senator Sumner continued to press his amendment, though, when the Senate reconvened in January 1872. See id.

<sup>162.</sup> CONG. GLOBE, 42d Cong., 2d Sess. 386 (1872) (statement of Sen. Sumner).

adopted.<sup>3163</sup> "Hereafter," Sumner concluded, "the rebels should remember that their restoration was associated with the Equal Rights of all, being contained in the same great statute.<sup>3164</sup> Sumner's amendment put the equality argument for removing the Section Three disabilities to the test, as advocates for that point of view would have to explain why that equality did not also apply to Blacks.<sup>165</sup>

The Senate spent much of January and February debating civil rights, but every so often someone could comment on the Section Three issue. For instance, one accused Sumner of bringing civil rights forward as a poison pill to defeat amnesty.<sup>166</sup> But another supported Sumner's link between those two issues as a fair deal for the white South: "We give you amnesty; you give what you ought never to have withheld, a full communion upon the broad ground of equal rights with your fellow-men."<sup>167</sup> Other Senators were against amnesty and for civil rights, with one stating that "[l]et us not say to future generations that these [rebel] men did no wrong ... and of again being returned to the highest positions in the Government."<sup>168</sup> Carl Schurz, one of the leading Liberal Republicans, took the opposite tack and disagreed "that the system of disabilities must be maintained for a certain moral effect ... Methinks that the American people have signified their disapprobation of the crime of rebellion in a far more pointed manner. They sent against the rebellion a million armed men."169 Schurz wound up his remarks by repeating the equality argument for Section Three amnesty, arguing that "when this is a truly a people of citizens equal in their political

<sup>163.</sup> Id.

<sup>164.</sup> Id.

<sup>165.</sup> *Cf.* DONALD, *supra* note 12, at 535 (describing the political dilemma facing Republicans in either alienating Southern Blacks or Southern whites, and stating: "After much consultation, Grant's friends in the Senate decided to support both amnesty and civil rights—in the expectation that both would fail.").

<sup>166.</sup> See CONG. GLOBE, 42d Cong., 2d Sess. 490 (1872) (statement of Sen. Sawyer); *id.* (stating that he supported Section Three relief because "I would take away from them a badge of distinction between them and their neighbors which they hold up to public gaze as a grievance.").

<sup>167.</sup> *Id.* at 495 (statement of Sen. Nye); *see id.* at 877 (statement of Sen. Harlan) ("I doubt whether rebels who are still opposed to equality of civil rights between themselves and [the] Union men then ought to be relieved from [the] only political disabilities under which they labor—the right to hold office.").

<sup>168.</sup> Id. at 524 (statement of Sen. Morton).

<sup>169.</sup> *Id.* at 700 (statement of Sen. Schurz); *see* DONALD, *supra* note 12, at 518 (noting Schurz's leadership in the Liberal Republican faction).

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rights, it will then be easier to make it also a people of brothers."<sup>170</sup>

At the conclusion of this lengthy debate, the Senate deadlocked. Sumner's amendment resulted in a tie vote that was broken by the Vice-President in favor of the civil rights provisions.<sup>171</sup> With the amendment, though, the amnesty bill failed to garner the necessary two-thirds vote.<sup>172</sup> The stalemate continued until May 1872, with Sumner making one final plea against removing "the disabilities of a few persons who drew their swords against their country" without removing "the larger disabilities which now attach to the much larger number of people."<sup>173</sup> But the Senate finally decided to pass Section Three amnesty without civil rights.<sup>174</sup> One possible explanation for that change was that the Liberal Republicans held their convention in May, nominated Horace Greeley, and in their platform demanded "the immediate and absolute removal of all disabilities imposed on account of the Rebellion, which was finally subdued seven years ago, believing that universal amnesty will result in complete pacification in all sections of the country."<sup>175</sup> Greeley's political threat to divide Republicans might have spurred the party's members in Congress to do something to take some of the wind out of his sails.<sup>176</sup>

The result was a partial amnesty. Section Three disabilities were retained for "Senators and Representatives of the thirtysixth and thirty-seventh Congresses, officers in the judicial, military, and naval service of the United States, heads of

<sup>170.</sup> CONG. GLOBE, 42d Cong., 2d Sess. 703 (1872) (statement of Sen. Schurz).

<sup>171.</sup> See DONALD, supra note 12, at 539; McConnell, supra note 12, at 1054.

<sup>172.</sup> See DONALD, supra note 12, at 539; McConnell, supra note 12, at 1055; see also 2 BLAINE, supra note 3, at 513 ("[T]he Democratic leaders were not willing to accept amnesty for their political friends in the South, if at the same time they must take with it the liberation of the colored man from odious personal discriminations.").

<sup>173.</sup> CONG. GLOBE, 42d Cong., 2d Sess. 3264 (1872) (statement of Sen. Sumner); *see* McConnell, *supra* note 12, at 1055–58 (describing the procedural background).

<sup>174.</sup> See DONALD, supra note 12, at 544–45; McConnell, supra note 12, at 1055. This result came about due to a procedural sleight-of-hand that occurred while Senator Sumner was not on the floor. See DONALD, supra note 12, at 546–47; McConnell, supra note 12, at 1058–60.

<sup>175. 1</sup> NATIONAL PARTY PLATFORMS, *supra* note 11, at 44.

<sup>176.</sup> The precise sequence of events was that the House of Representatives passed the revised version of partial amnesty in May 1872 on a voice vote. *See* BLAINE, *supra* note 3, at 513–14; *see also* CONG. GLOBE, 42d Cong., 2d Sess. 3381–83 (1872). Then the Senate, over Sumner's objection, passed the House bill shortly thereafter. *See* BLAINE, *supra* note 3, at 514–15. In the text, I altered the order for the sake of clarity.

departments, and foreign ministers of the United States."<sup>177</sup> Most notably, this left Jefferson Davis out, as he was a Senator in the Thirty-Sixth Congress.<sup>178</sup> Greeley's call for universal amnesty now became a political problem, because Section Three relief for Davis and the highest ex-Confederates was still deeply unpopular in the North.<sup>179</sup> But one immediate consequence of amnesty was that suffrage was restored to whites who lived in the four states that disenfranchised men subject to the Section Three exclusion.<sup>180</sup> Whether this changed any electoral results in those four states afterwards is difficult to assess. What is clear is that some ex-Confederates that the Fourteenth Amendment was designed to exclude returned to Congress. Most notably, Alexander Stephens came back into the House of Representatives in 1873.<sup>181</sup>

Before the Senate acted, another Black Representative rose to plead for mutual concessions. Joseph Rainey, who like Robert Elliott represented South Carolina, explained that: "It is not the disposition of my constituency that those disabilities should longer be retained on them."<sup>182</sup> "We are desirous, sir, of being magnanimous," Representative Rainey said, "it may be that we are so to a fault; nevertheless, we have open and frank hearts toward those who were our former oppressors and taskmasters."<sup>183</sup> "[W]hile we are willing to accord them their enfranchisement, and here to-day give our votes that they may be amnestied," he went on, "we would say to those gentlemen on the other side . . . that there is another class of citizens in this country,

<sup>177.</sup> Act of May 22, 1872, ch. 193, 17 Stat. 142 (1872).

<sup>178.</sup> See GOODWIN, supra note 102, at 301 (describing Senator Davis's Farewell Address in January 1861 announcing his resignation).

<sup>179.</sup> A colorful example came in 1876, when a bill was introduced to remove the Section Three disabilities that remained after the 1872 amnesty. Republicans attempted to amend the bill to waive disabilities for everyone except Jefferson Davis, as a way of putting Democrats on the spot. *See* BLAINE, *supra* note 3, at 554–55. The amendment was never voted on because Davis's status was still too controversial, and no amnesty was extended at that time.

<sup>180.</sup> *See supra* text accompanying notes 55–57.

<sup>181.</sup> *See* BLAINE, *supra* note 3, at 546–47.

<sup>182.</sup> CONG. GLOBE, 42d Cong., 2d Sess. 3382 (1872) (statement of Rep. Rainey); see BLAINE, supra note 3, at 513 ("The Democrats were now to witness an exhibition of magnanimity in the colored representatives which had not been shown towards them."); see generally CYRIL OUTERBRIDGE PACKWOOD, DETOUR—BERMUDA, DESTINATION—U.S. HOUSE OF REPRESENTATIVES: THE LIFE OF JOSEPH HAYNE RAINEY (1977) (discussing the Congressman's life).

<sup>183.</sup> CONG. GLOBE, 42d Cong., 2d Sess. 3382 (1872) (statement of Rep. Rainey).

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who have certain dear rights and immunities which they would like you, sirs, to remember and respect."<sup>184</sup> "We now invoke you, gentlemen," Rainey explained, "to show the same magnanimity and kindly feeling towards us—a race long oppressed, and in demonstration of this humane and just feeling give, I implore you, give support to the civil rights bill, which we have been asking at your hands, lo! these many days."<sup>185</sup> "I regret very much to say," he added, "that whenever a bill comes up here which is designed to relieve and benefit the outraged and oppressed negro population of this country ... [the Democrats'] apparent eagerness to defeat such desirable measures is perceptible on every hand, and is known to all."<sup>186</sup> But no civil rights bill was enacted in 1872.

### C. A FOURTEENTH AMENDMENT ELEGY

Let us now pause to contemplate the wider implications of amnesty. One observation is that Congress was expressing a growing pessimism among Northern whites with Reconstruction. To some extent, that feeling was reflected outside of the Capitol by the Liberal Republican faction, with its message—through Section Three relief—of letting bygones be bygones in the white South. Within Congress, there was also a sense that vigorous enforcement of Section Three was accomplishing nothing. This frustration reached its conclusion in the "Compromise of 1877," when Union troops were withdrawn from the ex-Confederacy and real federal enforcement of the Fourteenth Amendment on matters of race ended.<sup>187</sup> The Compromise of 1877 can be described as amnesty writ large for southern whites at the expense of the freed slaves. In other words, Section Three amnesty was a sign of grim things to come.<sup>188</sup>

<sup>184.</sup> Id. at 3382-83 (statement of Rep. Rainey).

<sup>185.</sup> Id. at 3383 (statement of Rep. Rainey).

<sup>186.</sup> Id. (statement of Rep. Rainey); see 2 BLAINE, supra note 3, at 515 ("[I]t must always be mentioned to the credit of the colored man that he gave his vote for amnesty to his former master when his demand for delay would have obstructed the passage of the measure."); see also CONG. GLOBE, 42d Cong., 2d Sess. 3383 (1872) (statement of Rep. Rainey) (stating that Republicans did not "want of the knowledge of parliamentary tactics by which legislation is often retarded"). When the Amnesty Act became law, the President ordered the dismissal of the quo warranto actions brought to enforce Section Three. See Ulysses S. Grant, Proclamation (June 1, 1872), in 9 MESSAGES, supra note 11, 4130, at 4130–31.

<sup>187.</sup> See CHERNOW, supra note 13, at 849.

<sup>188.</sup> I do not want to overstate the point. President Grant and a Republican Congress

The second observation is that the Amnesty Act was part of a more general congressional retreat on the Fourteenth Amendment that preceded the Supreme Court's decision in Slaughter-House. In the midst of the amnesty debate on Section Three, Congress seriously considered for the first (and last) time if Section Two's representation penalty should be enforced.<sup>189</sup> In spite of data from the 1870 Census indicating that both Rhode Island and Arkansas should lose one Representative and an electoral vote due to their suffrage limits, Congress decided to take no action.<sup>190</sup> When combined with the near-simultaneous decision to grant amnesty, the not-so-subtle message was that broad constructions of the Fourteenth Amendment were out of favor. This was the backdrop for Slaughter-House, in which the Court took a narrow view of Section One in 1873.<sup>191</sup> The Court gets pounded for that decision, but that criticism overlooks the fact that Slaughter-House was a lagging rather than a leading indicator for the Fourteenth Amendment given the decisions by Congress on Sections Two and Three a year before. The Justices often take their constitutional cues from the elected branches, and in Slaughter-House one could say that is exactly what they were doing.

Third, the amnesty debate was an early example of the gravitational pull of "returning to normal" in applying the

were reelected in 1872. *See* CHERNOW, *supra* note 13, at 751. The President took further steps to enforce the Fourteenth Amendment during his second term. *See id.* at 757–63, 788–95. And in 1875, a more modest version of Senator Sumner's civil rights measure was enacted (after Sumner's death) as the Civil Rights Act of 1875. *See* FONER, *supra* note 15, at 141–43. Nonetheless, the logic of amnesty prevailed after Grant left office.

<sup>189.</sup> See Magliocca, supra note 33, at 786-89.

<sup>190.</sup> See Act of Feb. 2, 1872, ch. 11, 17 Stat. 28 (1872); Magliocca, supra note 33, at 788–89. Rhode Island denied suffrage to men who had lived in the state for less than one year or owned less than \$134 of real property. See CONG. GLOBE, 42d Cong., 2d Sess. 82 (1871) (statement of Rep. Cox) (explaining this point). Arkansas denied voting rights to men who (1) had lived in the state for less than six months; (2) had fought in a dual; (3) were legally insane; or (4) were barred from voting in the state where they lived before they moved to Arkansas. See H.R. REP. NO. 41-3, at 72 (1870). In fairness to Congress, the census data on suffrage suffered from reliability issues. See CONG. GLOBE, 42d Cong., 2d Sess. 79 (1871) (quoting the Interior Secretary's view that he was "disposed to give but little credit to the returns made by assistant marshals in regard to the denial or abridgment of suffrage [under Section Two]"). But another issue was that Congress flinched from the political implications of applying Section Two to a Northern State and of inflaming white anger in Arkansas.

<sup>191.</sup> See Slaughter-House Cases, 83 U.S. (16 Wall.) 36 (1873); see also McDonald v. City of Chicago, 561 U.S. 742, 756–57 (2010) (acknowledging the scholarly criticism of *Slaughter-House*).

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Fourteenth Amendment on matters of race. In part, this urge may simply reflect an understanding that the text should be read as an anti-classification principle rather than in anti-subordination terms. But one can also say that the anti-subordination view is sustainable, but only for a limited time. This was a rationale given by the Court in *The Civil Rights Cases* and in *Grutter v. Bollinger* in explaining what were seen as controversial readings of Section One.<sup>192</sup> Some members of Congress took a similar view in saying that the tension between Section Three and Section One was intolerable (with a few exceptions) four years after the Fourteenth Amendment's ratification. Of course, in that context the baseline (as the Black Representatives pointed out) left out significant federal protections for the freed slaves.<sup>193</sup>

Finally, the amnesty discussion encapsulated a basic dilemma that followed the American Civil War and that follows any civil war: What is the best way to reunite a divided nation? Is the answer "malice towards none, [and] charity for all," which implies broad and rapid forgiveness?<sup>194</sup> Or is the answer to take stern measures against the losers, as John Bingham claimed when he said in 1866 that "unless you put [the South] in terror of the power of your laws, made efficient by the solemn act of the whole people to punish the violators of oaths, they may defy your restricted legislative power when reconstructed."<sup>195</sup> The tragic answer may be that neither is right or that both are not enough. Section Three embodied both approaches by providing for exclusions from office and for clemency, but by 1872 few were satisfied with this part of the Fourteenth Amendment.

<sup>192.</sup> See The Civil Rights Cases, 109 U.S. 3, 25 (1883) ("When a man has emerged from slavery, and by the aid of beneficent legislation has shaken off the inseparable concomitants of that state, there must be some stage in the progress of his elevation when he takes the rank of a mere citizen, and ceases to be the special favorite of the laws, and when his rights as a citizen, or a man, are to be protected in the ordinary modes by which other men's rights are protected."); Grutter v. Bollinger, 539 U.S. 306, 343 (2003) ("We expect that 25 years from now, the use of racial preferences will no longer be necessary to further the interest approved today.").

<sup>193.</sup> One could add, parenthetically, that *Griffin's Case* when paired with *Davis* also expressed a view about hewing to tradition in a manner that worked to the advantage of whites and the disadvantage of Blacks. *See supra* text accompanying notes 109–110.

<sup>194.</sup> See Abraham Lincoln, Second Inaugural Address (Mar. 4, 1865), in 8 MESSAGES, supra note 11, at 3477, 3478.

<sup>195.</sup> CONG. GLOBE, 39th Cong., 1st Sess. 1094 (1866) (statement of Rep. Bingham).

### PART IV. FORGETFULNESS

This Part investigates Section Three's ironic disappearance from constitutional law. After the Amnesty Act of 1872, President Grant called on Congress to remove the disabilities from those who remained excluded from office.<sup>196</sup> This action did not occur until 1898, but, in the meantime, Section Three was given a revisionist interpretation by the first Democratic Attorney General to serve after the Civil War.<sup>197</sup> Much later came the final twist of history, which was that the final man to receive Section Three relief was the first man who claimed its protection— Jefferson Davis.

### A. ATTORNEY GENERAL GARLAND'S OPINION

In 1885, Augustus Garland became President Cleveland's first Attorney General.<sup>198</sup> Garland was a well-regarded lawyer who served in the Confederate Congress.<sup>199</sup> Like most white Southerners, he received a pardon from President Andrew Johnson, but was not permitted to practice law in the Supreme Court under an Act of Congress providing that anyone who served in the Confederacy was, in effect, ineligible.<sup>200</sup> Garland filed a brief on his own behalf and persuaded a bare majority of the Court in *Ex parte Garland* that the Act of Congress was unconstitutional for practice as applied to a recipient of a presidential pardon.<sup>201</sup>

Nineteen years later, Attorney General Garland issued a Section Three opinion that drastically limited the scope of that provision. The case involved Alexander R. Lawton, a United

<sup>196.</sup> Ulysses S. Grant, Fifth Annual Message (Dec. 1, 1873), *in* 10 MESSAGES, *supra* note 11, at 4189, 4209 ("I renew my previous recommendation to Congress for general amnesty. The number engaged in the late rebellion yet laboring under disabilities is very small, but enough to keep up a constant irritation. No possible danger can accrue to the Government by restoring them to eligibility to hold office.").

<sup>197.</sup> See Lawton's Case, 18 Op. Att'y Gen 149–53 (1885) [hereinafter Lawton's Case]; EDMUND MORRIS, THE RISE OF THEODORE ROOSEVELT 291 (1979) (noting Grover Cleveland's election in 1884 as the first Democratic President for a quarter-century).

<sup>198.</sup> See, e.g., Zachary Newkirk, Gray Jackets and Rifles to Black Robes and Gavels: Confederate Veterans in the U.S. Federal Courts from Ulysses S. Grant to William H. Taft, 22 J.S. LEGAL HIST. 187, 201 (2014).

<sup>199.</sup> See Ex parte Garland, 71 U.S. (4 Wall.) 333, 375 (1866). Garland was pending in the Supreme Court when Section Three was discussed in Congress and that case was mentioned in that discussion. See BLAINE, supra note 3, at 209.

<sup>200.</sup> See Ex parte Garland, 71 U.S. at 375.

<sup>201.</sup> See id. at 338, 381; id. at 382–99 (Miller, J., dissenting). Three other Justices joined Justice Miller's dissent. See id. at 382.

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States Army officer who served in the Confederate Army.<sup>202</sup> United States Military officers were excluded from the 1872 amnesty, but Garland ruled that Section Three did not apply to officers who received a presidential pardon.<sup>203</sup> In part, he said that this was because pardons restored the grantees "to all their rights as citizens" and made them "as innocent as if they had never committed the offenses forgiven."204 The principal case that the Attorney General cited for that proposition was Ex parte Garland, which he must have done with a wink.<sup>205</sup> Garland then said that Section Three must "be restricted if necessary to prevent an unjust and absurd consequence, which it must be presumed the legislature could not have contemplated."206 For that point, the Attorney General cited Slaughter-House, "where the court refused to adopt the full meaning of certain general words in the first section of the fourteenth amendment in order to avoid an interpretation that would have involved 'so great a departure from the structure and spirit of our institutions' as, in the absence of explicit language, could not be presumed to have been intended."<sup>207</sup> Garland concluded that applying Section Three to someone with a pardon "would be productive of an injustice and a disregard of the public faith which nothing short of the most explicit and controlling language should authorize."<sup>208</sup>

The Attorney General's analysis was seriously flawed, though he did accurately capture the *Zeitgeist* of Section Three in 1885. First, the Senate did consider and expressly rejected language in Section Three that would have made an exception for those who received presidential pardons.<sup>209</sup> Second, the Amnesty Act of 1872 made little sense if Section Three did not apply to men

<sup>202.</sup> See Lawton's Case, 18 Op. Att'y Gen., *supra* note 197, at 149. Lawton was the President of the American Bar Association and (after Garland's opinion was issued) was appointed as Minister to the Austro-Hungarian Empire. See WILLIAM W. FREEHLING, 2 THE ROAD TO DISUNION: SECESSIONISTS TRIUMPHANT, 1854–1861, at 482 (2007).

<sup>203.</sup> See Act of May 22, 1872, ch. 193, 17 Stat. 142; Lawton's Case, 18 Op. Att'y Gen., at 151–52.

<sup>204.</sup> Lawton's Case, 18 Op. Att'y Gen., at 150; see id. at 152.

<sup>205.</sup> See id. at 150.

<sup>206.</sup> *Id.* at 150–51; *see id.* at 152 (refusing to impute "to the framers of the third section of the [fourteenth] amendment either ignorance of the law or the purpose to set a snare to say that they intended to include persons already pardoned without specially referring to them").

<sup>207.</sup> Id. at 151.

<sup>208.</sup> *Id.* at 152; *see id.* (stating that otherwise Lawton would "have been degraded by the amendment to the condition of disability from which their pardons had raised them"). 209. *See supra* note 37.

who were pardoned. Andrew Johnson pardoned almost everyone in the Confederacy, including those covered by the Act's exceptions.<sup>210</sup> Thus, under Attorney General Garland's theory there was no need for Congress to agonize over amnesty because Section Three applied to at best a few people. Needless to say, this was not the view in Congress when the Act was under consideration.<sup>211</sup> Still, the Attorney General was correct in the sense that nobody cared about enforcing Section Three by 1885, and so President Johnson's pardons might as well be treated as controlling.

#### B. THE AMNESTY ACT OF 1898

The outbreak of the Spanish-American War created the strongest nationalist sentiment in the United States since the Civil War. In the wake of that patriotic fervor, legislation was introduced in Congress to remove formally the remaining Section Three disabilities.<sup>212</sup> By that point, there were only a few hundred living ex-Confederates who were excluded by the 1872 Act, but further amnesty carried symbolic weight.<sup>213</sup> As the House Judiciary Committee Report supporting the legislation explained: "What a glorious spectacle in so short a time—the North and South, once so fiercely divided, reunited. The North willing to remove all political disabilities, wipe out all sectional feelings, and the South ready to defend the nation with their lives and their money."<sup>214</sup>

The discussion of this amnesty was briefer than what occurred in 1872, but there were echoes of the earlier debate. One was that granting Section Three amnesty was an egalitarian act. A Representative said that the bill meant "all discriminations made necessary in the reconstruction period after the war shall now be removed, and that the equality of all men declared by the Declaration of Independence shall be restored under the flag of

<sup>210.</sup> See, e.g., Andrew Johnson, Proclamation (May 29, 1865), in 8 MESSAGES, supra note 11, at 3508, 3508–10,

<sup>211.</sup> In other words, nobody in Congress in 1871 or 1872 said that Section Three relief was unnecessary because of President Johnson's pardons.

<sup>212.</sup> Act of June 6, 1898, ch. 389, 30 Stat. 432. I say formally because under Attorney General Garland's interpretation in 1885 virtually no disabilities remained.

<sup>213.</sup> *Cf.* BLAINE, *supra* note 3, at 513 (stating that no more than 750 men were excluded from the 1872 amnesty, which meant that fewer were alive to take advantage of the 1898 amnesty).

<sup>214. 31</sup> CONG. REC. 5405 (1898) (quoting the House Judiciary Committee Report).

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the Union."<sup>215</sup> Notably absent from the equality rhetoric was any discussion of civil rights for Blacks. The House Judiciary Committee Report quoted at length from James G. Blaine's account of Section Three that this Article uses as a source, but all of Blaine's references to civil rights or to Representative Rainey's 1872 speech were scrubbed.<sup>216</sup> Congress's general amnesty in 1898 ended the debate on the application of Section Three to ex-Confederates until the 1970s.

### C. ROBERT E. LEE AND JEFFERSON DAVIS

The surprising postscript to Section Three began in 1975, when Congress decided to grant a posthumous disability removal to Robert E. Lee.<sup>217</sup> Lee died in 1870 and thus was not covered by the 1872 amnesty.<sup>218</sup> The initial idea was that Lee's clemency would be combined with amnesty for Vietnam War draft evaders, but—as in 1872—Congress concluded that Lee's Section Three relief should be freestanding.<sup>219</sup> The ensuing Joint Resolution stated, in part: "[T]his entire Nation has long recognized the outstanding virtues of courage, patriotism, and selfless devotion to duty of General R. E. Lee, and has recognized the contribution of General Lee in healing the wounds of the War Between the States .....<sup>220</sup> President Gerald Ford signed the Joint Resolution, stating that "General Lee's character has been an example to succeeding generations, making the restoration of his citizenship an event in which every American can take pride."<sup>221</sup> Whether

221. Gerald R. Ford, Remarks Upon Signing a Bill Restoring Rights of Citizenship to General Robert E. Lee, *in* 2 PUB. PAPERS 1111, 1112 (Aug. 5, 1975). President Ford

<sup>215.</sup> See id. at 5410 (statement of Rep. Parker).

<sup>216.</sup> Compare id. at 5404–05 (quoting selectively from Blaine's Twenty Years of Congress), with BLAINE, supra note 3, at 513–15 (providing the original passage).

<sup>217.</sup> S.J. Res. 23, 94th Cong. (1975). A common mischaracterization of this joint resolution is that Congress restored Lee's citizenship. *See* Marjorie Hunter, *Citizenship Is Voted For Robert E. Lee*, N.Y. TIMES, July 23, 1975, at 1. Section Three did not strip anyone of citizenship, though the provision did remove a right of citizenship.

<sup>218.</sup> S.J. Res. 23, 94th Cong. (1975) (noting Lee's death on October 12, 1870); see Francis MacDonnell, *Reconstruction in the Wake of Vietnam: The Pardoning of Robert E. Lee and Jefferson Davis*, 40 CIV. WAR HIST. 119, 125–26 (1994).

<sup>219.</sup> See Richard D. Lyons, Amnesty Amendment May Be Attached to Resolution Restoring Lee's Citizenship, N.Y. TIMES, May 12, 1974, at 41; see also Hunter, supra note 217, at 1 (stating that a few Representatives voted against Lee's amnesty because Vietnam War draft evaders were not included).

<sup>220.</sup> S.J. Res. 23, 94th Cong. (1975); *see id.* ("[I]n accordance with section 3 of amendment 14 of the United States Constitution, the legal disabilities placed upon General Lee as a result of his service as General of the Army of Northern Virginia are removed ....").

Blacks were proud of this restoration was not discussed, but media reports suggested that Ford was more interested in shoring up his support among white Southerners in advance of the 1976 presidential election.<sup>222</sup>

Three years later, Jefferson Davis received Section Three relief.<sup>223</sup> Davis died in 1889 and was, of course, not included in the 1872 amnesty.<sup>224</sup> In introducing the Joint Resolution giving Davis his disability removal, Senator Mark Hatfield quoted Chief Justice Chase's declaration that "[w]e cannot convict [Davis] of treason," though he seemed unaware that Chase used Section Three to make that argument.<sup>225</sup> President Carter signed that Joint Resolution and explained that "Congress officially completes the long process of reconciliation that has reunited our people following the tragic conflict between the States."226 "Our Nation," Carter stated, "needs to clear away the guilts and enmities and recriminations of the past, to finally set at rest the divisions that threatened to destroy our Nation and to discredit the great principles on which it was founded."227 Post-Vietnam divisions were part of the subtext of the President's remarks, as he had given broad clemency to that war's draft evaders in 1977.<sup>228</sup>

A through line connects the Section Three relief granted in the 1870s and in the 1970s. First, in both cases Congress stressed moving on from the past rather than confronting a troubled legacy. Second, immediate political considerations played a significant role in the decisions to award amnesty. Third, there

delivered this statement at Arlington House, Robert E. Lee's home that is now part of Arlington National Cemetery.

<sup>222.</sup> See MacDonnell, supra note 218, at 128 (quoting a report on the CBS Evening News with Walter Cronkite).

<sup>223.</sup> S.J. Res. 16, 95th Cong. (1978) ("[I]n accordance with section 3 of amendment XIV of the Constitution of the United States, the legal disabilities placed upon Mr. Jefferson F. Davis are hereby removed . . . . "). Unlike the Lee resolution, Davis's did not make any references to his character.

<sup>224.</sup> See WARREN, supra note 6, at 93.

<sup>225.</sup> See id. at 94.

<sup>226.</sup> Jimmy Carter, Restoration of Citizenship Rights to Jefferson F. Davis, 2 PUB. PAPERS 1786, 1786 (Oct. 17, 1978).

<sup>227.</sup> Id.

<sup>228.</sup> See MacDonnell, supra note 218, at 129–30; cf. Jimmy Carter, Remarks and a Question-and-Answer Session With Department Employees, 1 PUB. PAPERS 259, 266 (Mar. 1, 1977) (defending his clemency order for draft evaders and stating: "I have also a historical perspective about this question. I come from the South. I know at the end of the War Between the States there was a sense of forgiveness for those who had been not loyal to our country in the past ....").

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was an undeniable white perspective to these questions, with scant attention given to Black perspectives on the Civil War. For example, Senator Hatfield used the hoary line that Jefferson Davis was the victim of "a vindictive conqueror" and that Section Three relief would right "a grave injustice."<sup>229</sup> Likewise, another Senator repurposed Robert E. Lee as a bold dissenter and that "we revere him because he had the guts to say no when he thought his country was wrong."<sup>230</sup> But wrong about what?

Accordingly, the purpose of Section Three was erased from the Constitution less than a generation after ratification. This was part of a broader trend in which the Fourteenth Amendment was cut loose from its moorings and set onto a much different course in the Gilded Age. Unlike other aspects of the Fourteenth Amendment, though, Section Three never recovered its rightful place in the twentieth century.

### CONCLUSION

The Constitution is probably the most closely read secular document in the world, but there are still some unexplored parts in the text. Section Three of the Fourteenth Amendment is one of those ignored provisions that teaches some important lessons about law and politics, even though those are lessons of failure.<sup>231</sup> The history of Section Three provides a more holistic view of the Fourteenth Amendment and shines a spotlight on how Congress's crucial role in shaping the meaning of the text in the ratification process and through amnesty, before Slaughter-House. Focusing on Section Three brings Chief Justice Chase's heretofore obscure opinion in Griffin's Case into the foreground as a template for Fourteenth Amendment judicial decisions down to the present day. Finally, the amnesty debate in Congress contains some profound observations from the first Blacks in the House of Representatives that are otherwise typically absent from the discussion of the Fourteenth Amendment's construction.

On the question of how to mend divided societies, Section Three is a cautionary tale. Targeting public officials may not be enough, as President Grant noted when he said, in advocating

<sup>229. 123</sup> CONG. REC. 2075 (1977) (statement of Sen. Hatfield).

<sup>230. 121</sup> CONG. REC. 9879 (1975) (statement of Sen. Hart).

<sup>231.</sup> Other aspects of my scholarship take an interest in constitutional failures, such as Prohibition or William Jennings Bryan's unsuccessful presidential election campaign in

<sup>1896</sup> and the impact of that defeat on constitutional doctrine. Failure is illuminating.

amnesty, that in free and fair votes "majorities are sure to elect officers reflecting the views of the majority."<sup>232</sup> On the other hand, sweeping Section Three relief did not fulfill the goal of Reconstruction, by inducing reciprocal magnanimity to the freed slaves. In the end, neither the clenched fist nor the open hand brought justice for all.

<sup>232.</sup> Ulysses S. Grant, Third Annual Message (Dec. 4, 1871), in 9 MESSAGES, supra note 11, at 4096, 4107.

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- IN-SURGENT, a. [L. insurgens.] Rising in opposition to la wint civil or political authority. Sephens.
   IN-SURGENT, n. A person who rises in opposition to civil or political authority; one who openly and actively resists the execution of laws. An insurgent differs from n rebel. The oncargent opposes the execution of a particular law or laws; the rebel attempts to overthrow of change the government, or he revolts and attempts to place his coun-try under another jurisdiction. All rebels are insurgents,
- try under mother jurisdiction. All rebets are navingents, but all insurgents are not rebels.
  IN -SCRUNG, (in-shöring) ppr. Making secure; assuring argainst loss; engaging to indemnify for losses.
  IN -SUR MOUNTA-BLE, a. (Fr. insurmontable.) 1. Insu-perable; that cannot be surmounted or overcome. 2. Not to be surmounted; not to be passed by ascending.
  IN SUR MOUNTA-BLY, ade. In a manner or degree not in the insurance of the surmounted or observation.
- to be overcome.
- IN-SUR-RECTION, R. [L. insurgo.] 1. A rising against civil or political authority; the open and active opposition of a number of persons to the execution of law in a city or state. It is equivalent to sedition, except that sedition presses a less extensive rising of citizens. It differs f It differs from rebellion, for the latter expresses a revolt, or an attempt to overthrow the government, to establish a different one, or to place the country under another jurisdiction. to place the country under another jurisdiction. It differs from matroy, as it respects the civil or political govern-ment; whereas a matroy is an open opposition to law in the army or navy. 2. A rising in mass to oppose an en-ency; [latte word, A.], a. Pertaining to insurrection; consisting in insurrection. Amer. Accient. INSUR-RECTION-A-RY, a. Pertaining or suitable to in-surrection. Burke. It differs

- IN SUR-RECTION-A-RY, a. Pertaining or summon or in-surrection. Barke. IN-SUS-CEP-TI-BIL/I-TY, **n**. Want of susceptibility, or capacity to feed or perceive. Med. Repos. IN-SUS-CEPTI-BLE, a. 1. Not susceptible ; not capable of being moved, alfected or impressed. 2. Not capable of receiving or admitting. IN-SUS-UR-RATION, **n**. [L. insusurro.] The act of whis-many data something.
- pering into something. IN-TACT'A-BLE, a. [L. intactum.] Not perceptible to the
- touch. Dict.
- IN-TAGLIA-TED, (in-tal'ya-ted) a. Engraved or stamped Harton. on.
- on. Warton. IN-TAGL710, (in-tallyo) s. [11] Any thing engraved, or a precious stone with a head or an inscription engraved on it. IN-TANG I-BLE, s. 1. That cannot or may not be buched. 2. Not perceptible to the touch. IN-TANG-I-BLE-NESS, i.e. The quality of being intangi-IN-TANG-I-BLE-NESS, i.e. The quality of being intangi-IN-TANG-I-BLE-TY, (i) ble. IN-TANG-I-BLE, a. That cannot be tasted; that cannot affect the organs of taste. Grew. IN-TE-BLE, s. [1.] The whole of any thing : particularly, in arithmetic, a whole number, in contradistinction to a fraction.

- fraction.
- fraction.
  IN TF-GRAL, a. [Fr.] 1. Whole; entire. 9. Making part of a whole, or necessary to make a whole. 3. Not frac-tonal. 4. Uniqueed; complete; not defective.
  IN TF-GRAL, m. A whole; an entire thing.
  IN TF-GRAL, M. M. Entireness. D Mataker, IN TF-GRAL, M. Whole; an entire thing.
  IN TF-GRAL, A. Making part of a whole; Whitaker, IN TF-GRAL, a. Making part of a whole; Beccessary to constitute an entire thing. Buske.
  IN TF-GRATE, r.t. [L. untegre.] To renew; to restore; to verfect: to make a thing entire. South.

- IN TE GRATE, e.t. [L. integree.] To renew; to restore; to perfect; to make a thing entire. South. IN TE GRATED, p. Made entire. IN TE GRATEON, n. The act of making entire. IN TEGRATION, n. The act of making entire. IN TEGRATION, n. The act of making entire. IN TEGRATION, n. The integrates.] I Whole-ness; entireness; unbroken state. 2. The entire, unim-paired state of any thing, particularly of the mod ; moral boundness or purity; incorruptness; uprichtness; hon-esty. 3. Purity genuine, unadulterated, unimpaired state. IN TEGU-MATION, n. (L. integrated, unimpaired state.) IN TEGU-MENT, n. [L. integrateme.] That which n turally invests of covers another thing. IN TEGU-MENT, n. [L. integrateme.] That faculty in The IC T., p. Fer, from L. outfletene.]

- IN TELLECT,  $\pi$ . [Fr., from L. *intellectus.*] That faculty of the human soul or mand, which receives or comprehends the ideas communicated to it by the senses or by perception, or by other means; the faculty of thinking; the understanding. IN TEL-LECTION, w. [L.intellectio.] The act of under-
- (A) The block of the state o standing. 3. To be perceived by the understinding, not
- Standing, or to be percented by an entropy of the sense. IN TELLECTUAL, a. (Fr. intellectual.) 1. Relating to the intellect or understanding ; belonging to the mind; per-termine montal or field in the sense. performed by the understanding, mental. 2. Ideal, per reaved by the intellect, existing in the understanding, 3. Having the power of inderstanding. 4. Kelating to the understanding, treating of the mind.

- IN-TEL-LECT/U-AL, s. The intellect or understanding [Little used.] Milton. IN-TEL-LECTU-AL-IST, n. One who overrates the up-
- derstanding. Bacon. † IN-TEL-LECT-U-ALT-TY, n. The state of intellectual
- power. Hallynell. IN-TEL-LECTU-AL-LY, adv. By means of the understanding
- standing. 10. TELI J. GENCE, n. [L. intelligentia.] 1. Understand-ing; skill. 2. Notee; information communicated; an account of things distant or before unknown. 3. Com-merce of acquaintance; terms of intercourse. 4. A spir-merce of acquaintance; terms of intercourse.
- it unl being. IN-TEL-LI-GENCE, r. t. To inform; to instruct. [L. u.] IN-TEL/LI-GENCED, pp. Informed; instruct d. [L. u.] IN-TEL/LI-GENCE-OF-FICE, n. An office or place where the state of the state in the state of the stat
- information may be obtained. IN-TEI/LI-GEN-CER, n. One who sends or conveys intel-ligence; a messenger. Addison. 2. A public paper; a
- newspaper. IN-TELAA GEN-CING, ppr. or a. Giving or conveying no-
- IN-TEL-1.4 GEN-CING, ppr. or a. Giving or conveying notice to from a distance.
  IN-TEL-1.1 GENT, a. [L. intelligens.]
  I. Endowed with the faculty of understanding or reason.
  2. Knowing; understanding or reason.
  2. Knowing; understanding or reason.
  3. Giving unformation; [abs.] Shak.
  IN-TEL-1.4 GENTIAL, a. 1. Consisting of unbodied mind.
  2. Intellectual; exercising understanding. *Milcon.*IN-TEL-1.4 GENTIAL, a. 1. Consisting of unbodied mind.
  2. Intellectual; exercising understanding. *Milcon.*IN-TEL-1.4 GENTIAL, a. 1. Consisting of unbodied mind.
  IN-TEL-1.4 GENTIAL, a. 1. Transport of the possibility of being understand. Tooke.
  IN-TEL-1.4 GENTIAL, a. 1. Intelligibilis.] That may be understood or comprehended.
  IN-TEL-1.4 GENTIAL, a. 4. 1. Intelligibilis.] That may be understood ; clearly; plandy.

- (iearly planty: clearly planty: † IN-TEMPER-ATE, a. (L. intemeratus.) Pure; undefield, † IN-TEMPER-ATE-NESS, n. State of being unpolitited. IN-TEMPER-AMENT, n. A bad state or construction. IN-TEMPER-ANCE, n. [Fr.; L. intemperantus.] 1. In a general sense, want of moderation or due restraint; ex-cess in any kind of action or indulgence. 2. Habitual indulgence in drinking spirituous liquors, with or without
- difference in drinking spirituous indous, while it written integration. L. Beccher.
  IN-TEM PER-ATE, a. [L. intemperatus.] I. Not moderate or restrained within due limits; indulging to excess any appetite or passion, either habitually or in a particular instance; immoderate in enjoyment or exertion. 2. Ad-ducted to an excession or reliability of spiritume human dicted to an excessive or habitual use of spirituous honore. a. reserve an excessive or national use of spirituous liquors. 3. Passionate ; ungovernable. 4. Excessive ; exceeding the convenient mean or degree. 1 N TEM PER. ATE, et al. To disorder. Whitaker. INTEM PER. ATE LY, adv. With excessive indulgence of the second statement of the budget of the second statement.
- IN-TEM PER-ATLET, ar. O'm excessive inducence of appetite or passion; with unduc exertion; immoder-alely; excessively. IN-TEM PER-ATE/NESS, n. 1, Want of moderation; ex-

- INTEM TERATIONESS, n. 1. Want of moderation; excessive degree of indulgence. 2. Immoderate degree of any quadry in the weather, as in cold, heat or storus.
  INTEMPER A.TURE, n. Excess of some quadry.
  INTEMPER A.TURE, a. (L. underapertenses, Untimely.
  INTEM PESTIVE LY, adv. Unseasonably.
  INTEM PESTIVE LY, adv. Unseasonably.
  INTEM PESTIVE LY. and the unseasonably.
  INTEM PESTIVE LY. as the unseasonably.
  INTEM PESTIVE LY. as the unseasonably.
  INTEM A.BLE, a. That cannot be held or maintained; that is not defensible. Barbarton.
  INTEMPS, e.t. (L. autendo): I. To stretch; to strain; to exit on a set forward in mind. 3. To regard; to its the nand on; to ath nd; to take care of; [obs.] 4. To enforce; to make intense. From.
  INTEND A.NT, n. [Fr.] I. One who has the charge, overaght, direction or management of some public bostness.
  In Technicston, South Carolinas, the may or or charf my.
- (a) and the set of the set of

- IN-TEND 1.D. LV, edc. With intention or purpose; by design, *Milina*.
  IN-TEND ER, *n*. One who intends.
  IN-TEND I MENT, *n*. Attention: understanding.
  IN-TEND I MENT, *n*. Attention; understanding.
  Stretching; distending; (*latteweel*.)
  IN-TENDHLNT, *n*. (Fr. educated encort.) Intention; design; in *tare*, the true meaning of a person or of a law or of any legal institution.
  IN-TEN ER ATEL, *r*. 6. To make tender: to soften
  IN-TEN ER ATELO, *pp.* Made tender: to soften
  IN-TEN ER ATELO, *pp.* Made tender.
  IN-TEN ER ATELO, *pp.* Made tender.
  IN-TEN ER ATELO, *pp.* Made tender.

- | IN TEN/I BLE, a. [in and temble.] That cannot hold.
- Sha
- INTENSE, (in tens') a. [Lostensus.] I. Literally, strain-ed, stretched, hence, very close, strict, as when the mind is fixed or bent on a particular subject. 2. Raised to a high degree ; violent ; vehement. 3. Very nevere or

See Synopeis. MOVE, BOOK, DOVE ;- BULL, UNITE .- Cas K, G as J; S as Z; CH as SII; TH as in thu. 1 Obsolete.



# How the Civil War Got Its Name

From "insurrection" to "rebellion" to "Civil War," finding a name for the conflict was always political.



Bombardment of Fort Sumter, Charleston Harbor: 12th & 13th of April, 1861 via <u>Wikimedia Commons (https://commons.wikimedia.org/wiki/File:Bombardment\_of\_Fort\_Sumter(3b52027r).jpg)</u>

By: Livia Gershon (https://daily.jstor.org/daily-author/livia-gershon/) | January 15, 2021 3 minutes

What happened on January 6 at the US Capitol? Depending on who's telling the tale, it was a "protest," "insurrection," "riot," or, as commemorative shirts worn by some of the participants put it, "<u>MAGA Civil War</u>

### (https://www.vox.com/the-goods/22225538/capitol-insurrection-riot-App'x 0168

<u>sweatshirts-hats)</u>." Historian Gaines M. Foster writes that <u>Americans</u> <u>once used a similar range of terms to describe what we now think of as</u> <u>the actual Civil War</u>.

After Confederate troops fired on Fort Sumter in April 1861, Foster writes, President Lincoln described the situation as an "insurrection." But within months, he instead adopted "rebellion." That word had a more distinctly negative connotation then than it does today. Foster notes that international lawyer Emmerich de Vattel's *The Law of Nations*, considered authoritative at the time, suggests that an "insurrection" may be justified, but "rebellion" is by definition "void of all appearance of justice."

# <u>Some supporters of the Union cause,</u> <u>including Frederick Douglass, attempted to</u> <u>reclaim "abolition war."</u>

Meanwhile, among Confederates and their sympathizers in the North, one popular term for the conflict was "abolition war." It evoked radical ideas like racial equality that many whites all over the country opposed. The *Macon Daily Telegraph* reported that many in the Midwest viewed the conflict as "an unholy, unchristian, unjust Abolition War."

Some supporters of the Union cause, including Frederick Douglass, attempted to reclaim "abolition war." Douglass argued that the war was necessarily one of abolition because the destruction of slavery was necessary for the preservation of the Constitution and the nation. In the years after the war ended, Foster writes, no single term prevailed among southern whites. Some spoke of the "Confederate War for Independence," or just the "Confederate War." (The "War of Northern Aggression" was rarely used until it was adopted by neo-Confederates and others opposed to racial integration in the mid-twentieth century.) Gradually, southerners settled on the "War between the States." Former Confederate Vice President Alexander H. Stephens argued that this reflected the fact that the United States had never been "one Political Society" and that the war had been between states "regularly organized into two separate Federal Republics."

In the North, meanwhile, a shift was happening. During and immediately after the war, northerners most commonly referred to it as a "rebellion." But as **Reconstruction was quashed (https://daily.jstor.org/revisitingreconstruction/)** and the nation permitted the rise of the Jim Crow terror regime, many white northerners sought to bridge the divide with their southern counterparts by using a neutral term. By the 1890s, "Civil War" was clearly the favorite term used in newspapers. Soon after the turn of the century, Congress officially adopted it over "the rebellion."

### The United Daughters of the Confederacy

(https://daily.jstor.org/womens-clubs-and-the-lost-cause/) continued to push for "War between the States," but "Civil War" eventually won out nationwide. Around 1907, one historian surveyed a sample of Americans about how to refer to the conflict. The overwhelming favorite was "Civil War." Many respondents approvingly mentioned that it was acceptable to both North and South. One explicitly noted that it "relieves the South from embarrassment." Today, similar questions about political unity and its price may resonate in the words we use to describe violent political conflict.

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### App'x 0172 THE CONGRESSIONAL GLOBE.

law Judge Davis stood in troublesome times where I stood. He stood up in behalf of the rights and liberties of the people when such men as Greeley trod them to the earth. There is much in Judge Davis's position to command the respect of the whole country; and the strong probabilities are that if he had been nominated at Cincinnati he would have been accepted by a majority of the Democratic party.

I am only expressing my individual opinion. But it is quite a different thing whether I should vote for a Republican who has much in common with my own feelings or with one who has nothing.

Mr. RANDALL. I beg to say that I would not vote for either of them unless the Democratic national convention indorsed him. But if it shall indorse either of them, I shall vote for him.

Mr. VOORHEES. The gentleman has, of

course, a right to his own opinion. Mr. BIRD. I would not vote for Mr. Greeley if nominated at Baltimore.

Mr. KERR. I ask one moment. All I desire to say in reference to the article procured to be read by my colleague is that so far sa it attempts to classify me its statements are simply untrue. That is all I desire to say. Mr. NIBLACK. Now that my position as a member of the Indiana delegation is also

involved, it is due to truth and frankness that I should say that as now advised nothing short of the power of the Democratic organization will compel me to vote for Mr. Greeley as a presidential candidate. I am deeply disap-pointed at the result of the late Cincinnati convention, and have made no concealment as to that disappointment. Any one who classes me as personally sympathizing with the movement which seeks, either directly or indirectly, to make Mr. Greeley the candidate of the Democratic party, misrepresents my position. In this matter, however, as in all other great political movements where policy alone is mainly to be consulted, I intend to be governed by the ultimate action of my political friends.

Mr. HOLMAN. I only desire to say that I repudiate the article which my colleague [Mr. VOORHEES] has caused to be read so far as it refers to me. I do not propose to fore-stall the action of the Baltimore convention. The Democratic party will alone, in their national convention, determine what the true interests of the country may in their judgment demand.

BRIDGE OVER DUNSTAN RIVER, MAINE.

Mr. LYNCH. I agree with all these gentle-

men, but I want to introduce a bill for action. Mr. LYNCH, by unanimous consent, re-ported back from the Committee on Com-merce, with the recommendation that it do pass, the bill (H. R. No. 2313) to authorize the construction of a bridge over the tide-water of Dunstan river, in the State of Maine. The bill was read. The first section pro-

vides that the inhabitants of the town of Scarborough, in the county of Cumberland and State of Maine, are hereby licensed to build, and to authorize to be built, as in their judgment may be or become necessary to facilitate intercommunication, a bridge or bridges, either for railroad or ordinary travel, over the tidewater of Dunstan river, at or above the Steep Banks, so called, on said river, without any draw in said bridge or bridges.

The second section provides that the act shall take effect and be in force from and after its passage.

Mr. LYNCH. I only desire to say that this bill has been before the Committee on Commerce, and I am authorized by them to report it back.

Mr. CONGER. I simply want to make this statement, that I think there is no precedent whatever for the construction of a bridge by an act of Congress in any of the old thirteen. States which reserved the right of eminent || domain and of bridging their rivers and streams to themselves, while in the new States the Government takes everything.

Mr. LYNCH. I understand that that is not correct. I am informed so by my colleague near me on the committee, [Mr. HOLMAN.]

The bill was ordered to be engrossed and read a third time; and being engrossed, it was accordingly read the third time, and passed.

BUSINESS OF SATURDAY NEXT.

Mr. BLAIR, of Michigan. I desire to make a parliamentary inquiry. The House has as-signed Saturday next for business of the Committee of Claims. Some of that business is already on the Private Calendar. I desire to know if it would be competent for the House to go into Committee of the Whole on the Private Calendar and consider only those bills reported from the Committee of Claims?

The SPEAKER. The Chair would rule so, if the House goes into Committee of the Whole on the Private Calendar to take up the bills reported from the Committee of Claims, because the whole day, whether in Committee or out of it, is assigned to that committee.

FIRE AT SOMERSET, PENNSYLVANIA.

Mr. B. F. MEYERS. I move to suspend the rules and put upon its passage a bill for the relief of the sufferers by fire at Somerset, Pennsylvania.

The bill was read. The preamble recites that the town of Somerset, Pennsylvania, was, on the 9th of May, 1872, almost totally de-stroyed by fire, and many of its inhabitants rendered houseless and homeless. The bill directs that there be allowed and paid to the sufferers by said fire, under such regulations as the Secretary of the Treasury may prescribe, a sum equivalent to the import duties on all materials used in buildings to be erected on the ground burned over by the said fire; provided that the same materials be used within two years from the 1st of July 1872.

Mr. B. F. MEYERS. I ask unanimous consent to have read an extract from a newspaper giving an account of the fire.

There was no objection; and the Clerk read the extract as follows:

"Every business house is destroyed except Hol-derbaum's grocery, every hotel except the Barnett House, both the printing offices, and the Presbyte-rian and Lutheran churches. "Incidente.—In a large number of cases the stores were used at the same time as residences, and many, in fact the majority, of the losses represent all the owners had. "Goods were removed from the houses to be de-

owners had. "Goods were removed from the houses to be de-stroyed in the streets, or in some cases to be carried off by miscreants to the country. "Scarcely anything was saved, the complete de-struction effected being one remarkable feature. "Said a young man who forgot that he had lost all, My old father, rich at noon yesterday, hadn't a dollar at night, and with his two daughters had to beg a floor to lie on last night—and he eighty-four years old." "Another said, "Beside Chicago this will annear

year old." "Another said, "Beside Chicago this will appear small, and we won't get help, but God knows we need it-it was all we had.' Yet there was no needless complaining, and all tried to smile and talk cheerfully and hopefully of the future. None can appreciate the scene unless they stand with a father looking on the ruins with his family, not a dollar or a mouthful to eat in the world, and cheerfully say, "it will all come right." \* \* \* \* \* \* \* "The total amount of insurance is placed at \$60,000. The estimates given are rather below than above the mark."

Mr. BUTLER, of Massachusetts. I must object unless the gentleman will add the town of Ayr, in Massachusetts, which was burned down.

Mr. BIRD. I would inquire if iron is included?

Mr. RANDALL. This is a question of humanity and charity.

Mr. B. F. MEYERS. The bill is based upon the same principle as the bills passed for the relief of sufferers by the fire in Chicago, but as objection is made to it, I will ask that it be referred to the Committee of Ways and Means.

The bill (H. R. No. 2760) was read a first ||

and second time, and referred to the Commit-tee of Ways and Means.

### ORDER OF BUSINESS.

The SPEAKER. The gentleman from Iowa [Mr. McCRARY] gave notice last week that to-day after the morning hour he would call up the contested-election case of Giddings vs. Clark, from the State of Texas. The Chair will ask the gentleman to give way for such other business as may be disposed of without a call of the yeas and nays. Mr. McCRARY. I will yield for that pur-

pose.

#### GENERAL AMNESTY.

Mr. BUTLER, of Massachusetts. The House instructed the Committee on the Judi-The ciary to report an amnesty bill containing the names of all the persons for the removal of whose disabilities application has been made to Congress. The other day the committee reported such a bill, containing some fifteen or sixteen thousand names. The bill was ordered to be printed and recommitted. Since then amend-ments have been proposed, including some twenty five more pages of additional names. I have also been instructed by the committee to report a general amnesty bill instead, which I now do.

The bill was read as follows:

The bill was read as follows: A bill to remove the legal and political disabilities imposed by the fourteenth amondment of the Constitution of the United States. Be it enacted by the Senate and House of Represent-atives of the United States of America in Congress assembled, (two thirds of each House concurring therein.) That all legal and political disabilities imposed by the third section of the fourteenth arti-cle of the Constitution of the United States are hereby removed from all persons whomsoever, ex-cept Senators and Representatives of the Thirty-Sixth and Thirty-Seventh Congress, officers in the judicial, military, and naval service of the United States, heads of Departments, and foreign ministers of the United States. Mr. YOUING. Why not pass both bills?

Mr. YOUNG. Why not pass both bills? Mr. COX. I hope we will have a general amnesty bill passed at once. Mr. BUTLER, of Massachusetts.

As it must take a two thirds vote to pass the general bill I have reported, I will move to suspend the rules and pass it now.

Mr. COX. I rise to a point of order. The SPEAKER. The gentleman will state his point of order.

Mr. COX. My point of order is that there is so much noise and confusion here that we cannot hear what is going on. The SPEAKER. The Chair sustains the

point of order most emphatically. Monday, which of all days should have the most perfect order, on account of the amount and character of the business usually transacted, is nearly always a day of the greatest disorder. Gentle men will resume their seats, and refrain from conversation. The Chair will not entertain any business whatever until order has been restored.

• After some time, Mr. COX said: I desire to inquire if this bill comes before us as the report of the Committee on the Judiciary. Mr. BUTLER, of Massachusetts. If the

House will bear with me I will make a brief statement.

Mr. COX. I have asked the question, whether it comes from the committee under the instructions of the House?

Mr. BUTLER, of Massachusetts. . It does, Mr. COX. If that is not the case I will

raise a point of order on the bill. Mr. SARGENT. I suppose this debate is

by unanimous consent. The SPEAKER. Certainly. Mr. SARGENT. I will not object to a

brief debate. Mr. BUTLER, of Massachusetts. There is

no more necessary business to be transacted by Congress than this. The Committee on the Judiciary, in obedience to the instructions of the House, have reported a bill which covers some sixteen or seventeen thousand names. That

### App'x 0173 THE CONGRESSIONAL GLOBE.

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bill has been amended with twenty-five additional pages of names. So far as the committee are aware, none of those names come within the classes which have been objected to upon the floor of this House. Under these circumstances the committee have instructed me to report a general bill, removing disabilities from all persons, with the exception of four classes, which will embrace between two and three hundred men only in the whole land. Those classes are members of the Thirty-Sixth and Thirty Seventh Congresses, judicial and diplomatic officers of the United States, and those in the Army and Navy who resigned and went into the rebellion. Let me say to gentlemen that this is done with a desire to pass a bill which will remove disabilities from as many as can be done in the present juncture of affairs.

Mr. COX. Will the gentleman allow a question?

Mr. BUTLER, of Massachusetts. Certainly. Mr. COX. Has there not already been passed by this House a bill precisely identical with this?

Mr. BUTLER, of Massachusetts. Not quite.

Mr. COX. Wherein is the difference? Mr. BUTLER, of Massachusetts. There has Wherein is the difference

been a bill passed by the House and sent to the Senate, but it contained more exceptions than this.

Mr. McINTYRE. Will the gentleman allow me to ask him a question?

Mr. BUTLER, of Massachusetts. Certainly. Mr. McINTYRE. Does this bill except the State judiciary? Mr. BUTLER, of Massachusetts. No, sir;

it excludes from annesty none but members of the Thirty-Sixth and Thirty-Seventh Conof the Thirty-Sixth and gresses, judicial and diplomatic officers of the United States, and officers of the Army and Navy of the United States who left to go into the rebellion. It excludes nobody else. I

now ask for a vote. Mr. PERCE. I ask the gentleman from Massachusetts to allow me to offer an amendment to his bill.

A motion to suspend the The SPEAKER. rules is not amendable.

Mr. PERCE. It is well known that if this bill goes over to the Senate the Senate will tack on to it a civil rights bill; so we may as well pass such a bill, if we expect this to become a law.

Mr. YOUNG. I ask that the bill reported from the committee containing specific names may also be considered and passed after this bill has been disposed of, so that both bills

may go to the Senate. Mr. BUTLER, of Massachusetts. We are willing that both bills should be passed.

Mr. COX. I understand from the gentleman from Massachusetts that this bill is wider in its scope than that which the House has already passed, and which is now before the Senate. I understand that it covers all those whose names are embraced in the specific bill.

Yes, sir. Mr. BUTLER, of Massachusetts.

Mr. COX. If that is the case I think there ought to be no objection to the passage of this bill. It is perhaps one of the results of Mr. Greeley's nomination at Cincinnati. [Laughter.]

Mr. BUTLER, of Massachusetts. Oh, no; it is the result of the speech of the gentleman from Indiana, [Mr. VOORHEES.] Mr. HOAR. Is there not an ambiguity in

the language of this bill? It does not appear from its terms whether it is intended to include in the exemption persons who merely held the offices named or those who held them at the time the rebellion broke out.

Mr. BUTLER, of Massachusetts. That question came up in committee, and we thought that the meaning of the bill, considered in connection with the constitutional amendment, was clear.

Mr. DAWES. I ask my colleague [Mr. ] BUTLER] whether he will not admit a modi-

I think the bill should show spefication. cifically that amnesty is withheld from those who committed acts of rebellion while members of Congress.

Mr. BINGHAM. Some of them resigned. Mr. WHITTHORNE. I rise to a question of order. I understand that the original bill was reported from the Judiciary Committee under special instructions from this House. It was a special bill. It is not therefore, I sub-

mit, in order for any other bill to be reported. The SPEAKER. It would not be in order under the rules; but this is a motion to suspend the rules.

Mr. BINGHAM. I desire to say that it conforms to the purpose of the committee; and I am very sure that it will conform to the wishes of the gentleman from Massachusetts. who reports the bill, that after this general bill is passed we should take up and pass the specific bill.

Mr. ELDREDGE. I desire to say that this is the best and most liberal bill on this subject that has ever been passed by this House.

Mr. HALE. Let me suggest to the gentleman from Massachusetts that the phraseology of the bill should be amended so as to say "fourteenth article of the amendments of the Constitution" instead of "fourteenth article of the Constitution."

Mr. BUTLER, of Massachusetts. The difference is immaterial; but I do not object to the modification.

The SPEAKER. If there be no objection, the modification will be made.

There was no objection.

The question being taken on the motion to suspend the rules and pass the bill, it was agreed to; two thirds voting in favor thereof. So the hill (H. R. No. 2761) was passed.

Mr. BUTLER, of Massachusetts. I now report back from the Committee on the Judiciary the bill (H. R. No. 2564) containing specific names. It is entitled "A bill to relieve certain persons named therein from the legal and political disabilities imposed by the fourteenth amendment of the Constitution of the United States.'

Mr. COGHLAN. I rise to a point of order. I submit that this is not the bill which the Committee on the Judiciary were instructed to report; that they have left out the name of John G. Pressley, of Sonoma county, California, which they were instructed to report. I ask leave that that name be inserted.

Mr. BUTLER, of Massachusetts. I have no objection.

Mr. HARRIS, of Virginia. I desire to have several names inserted.

The SPEAKER. Does the gentleman from Massachusetts yield for amendments inserting additional names?

Mr. BUTLER, of Massachusetts. I do.

The SPEAKER. Members will then send to the desk the various names they desire inserted.

By unanimous consent additional names sent up by various members were inserted in the bill.

Mr. PERRY, of Ohio. I move to amend by adding at the end of the bill "and all other persons."

Mr. BUTLER, of Massachusetts. I do not vield for that amendment. I do not want to

be amnestied myself. [Laughter.] The SPEAKER. There is so much confusion in the House, and such an apparent indisposition on the part of members to attend to any public business, the Chair would be obliged to some gentleman to move to adjourn, as it seems to be impossible to preserve order.

Mr. SPEER, of Georgia. I make that motion, Mr. Speaker, and move that the House do now adjourn. [Laughter.]

The motion was disagreed to. The SPEAKER. The Chair infers what he could not before, that the members desire to do business by refusing to adjourn.

The bill now before the committee, reported

from the Committee on the Judiciary, is the bill which the House authorized that committee to report at any time. It embraces the names of all persons the removal of whose disabilities has been asked for on the part of any member of the House. The privilege accorded to the Committee on the Judiciary was limited to one report, and that was done on the motion of the gentleman from Wisconsin, [Mr. ELDREDGE.] Since then the Chair has declined to entertain motions for special amnesty on Monday afternoon, and he would continue so to decline.

Mr. ELDREDGE. It will be borne in mind I made the suggestion in order that all the names might come before the House in one report.

The SPEAKER. So the Chair understands, that the privilege accorded to the Committee on the Judiciary was limited to one report, in order to embrace the names of all persons

Mr. ELDREDGE. My motion was made in order to prevent the Committee on the Judiciary from reporting on this question by piece meal.

The SPEAKER. Certainly; and the Chair desires to enforce that understanding on the part of the House.

Mr. BUTLER, of Massachusetts. And I desire to say, Mr. Speaker, that I have held this bill back to afford opportunity for the introduction of the names of all persons whose

disabilities were asked to be removed. Mr. BINGHAM. The bill includes the names of everybody but about one hundred and fifty persons.

Mr. SHELDON. I notice there are some fifty from Louisiana named in this bill whose disabilities have already been provided for,

who were relieved by previous acts. Mr. BINGHAM. Well, even if that be so, do not let it be an obstruction in the way of the passage of this bill.

Mr. SHELDON. Very well. Mr. RAINEY. I desire to make a few remarks before the vote is taken on this bill. A MEMBER. How long does the gentleman want?

Mr. BINGHAM. I hope we will take a vote on this bill without any discussion. The Committee on the Judiciary have taken up no time to discuss it.

Mr. RAINEY. I do not want more than five minutes.

The SPEAKER. If there be no objection, the gentleman will be allowed to proceed for five minutes.

There was no objection.

Mr. RAINEY. Mr. Speaker, there is no member on this floor who hails with greater satisfaction and gratification than myself a bill of this description, having for its avowed purpose the removal of those disabilities imposed by the fourteenth article of the amendments to the Constitution of the United States upon those lately in rebellion. There are many who are under these disabilities for whom I entertain the highest respect and esteem. I regretted that their course of action in the past made it necessary for Congress to impose on them any disabilities whatever. It is not the disposition of my constituency that those disabilities should longer be retained on them. We are desirous, sir, of being magnanimous; it may be that we are so to a fault; nevertheless, we have open and frank hearts toward those who were our former oppressors and taskmasters. We foster no enmity now, and we desire to foster none for their acts in the past to us, nor to the Government we love so well. But while we are willing to accord them their enfranchisement, and here to-day give our votes that they may be amnestied; while we declare our hearts open and free from any vindictive feelings toward them, we would say to those gentlemen on the other side, and also to those on this side who are representing more directly the sentiment and wishes of our disfranchised fellow citizens, that there is another class of citizens in this country who have certain dear rights and immunities which they would like you, sirs, to remember and respect.

The Republican members of this House will give their votes for the passage of this amesty bill. The majority of them are also in favor of civil rights, which my people, the colored people, are desirous of having. We are in earnest about this matter. We are earnest in our support of the Government. We were earnest in the hour of the nation's perils and dangers; and now, in our country's comparative peace and tranquillity, we are in earnest for our rights. We now invoke you, gentlemen, to show the same magnanimity and kindly feeling toward us-a race long oppressed; and in demonstration of this humane and just feeling give, I implore you, give support to the civil rights bill, which we have been asking at your hands, lo! these many days.

You will observe that when a bill is introduced for the purpose of removing political disabilities, no parliamentary maneuverings are resorted to by the Republicans to impede the passage of such a bill.

I want the House further to understand, and especially the gentlemen on the other side, that this apparent indifference and reticence on our part is not from any want of the knowledge of parliamentary tactics by which legislation is often retarded, and salutary measures hindered, but it is because we are disposed to facilitate and assist the furtherance of those measures we believe equitable and just to our fellow-man; thus doing unto others as we would they should do unto us. Now, in respect to the action of the Democrats, I regret very much to say that whenever a bill comes up here which is designed to relieve and benefit the outraged and oppressed negro population of this country, those whom I may strictly call my constituency, their apparent eagerness to defeat such desirable measures is perceptible on every hand, and is known to all. No vigilance or efforts were spared on their part to defeat the civil rights bill whenever it came up in its regular order. I hope, in the future, gentlemen, you will deal with us justly and generously as we now propose to deal with the late rebels. I hope you will assist us in securing our civil rights. I need not say to you that we fought for the maintenance of this Government while those who are about to be amnestied fought to destroy it. I thank the House for this courtesy.

Mr. BUTLER, of Massachusetts. I yield for a few moments to the gentleman from South

Carolina, [Mr. ELLIOTT.] Mr. ELLIOTT. I submit the following resolution, and ask to have it read now, although not for present action.

Resolved, That the Judiciary Committee be, and is hereby, directed to report upon the next call of their committee a bill supplementary to the act to protect all citizens of the United States in their civil rights, and to furnish the means for their vindica-tion, commonly known as a civil rights bill.

Mr. BINGHAM. That cannot be allowed to intervene here.

Mr. ELLIOTT. I do not want this resolution acted upon until the bill of the gentleman from Massachusetts [Mr. BUTLER] has passed. I desire simply, Mr. Speaker, to state my reasons why I introduce the resolution now.

I know full well that I have fallen under the ban of opprobrium, and that certain gentlemen in this House, have attempted to class me among those who are immodest, because on a former occasion I declined to give my vote for amnesty, while at the same time other citi-zens of the United States were deprived of their rights under the Government. I acted as I did in that regard not because I had any feeling against those who were laboring under political disabilities, but because I desired that the magnanimous action of the Government on behalf of those who were untrue to the Government in the past should go hand in || purpose.

hand with the righteousness of the Government in protecting its own citizens.

To day, sir, when this bill for the removal of political disabilities has been presented here again, I intend to vote for the measure. But I desire at the same time to test the sincerity of those who claim that they are patriotic in this matter. I wish to see whether the gentlemen who claim that they desire the removal of the political disabilities of those who labor under them, and who sit upon the other side of the House, really mean what they say; for they know as well as I do that no bill for the removal of political disabilities can pass the Senate until this House shall have acted upon the bill for the protection of all citizens in their civil rights. If, therefore, they desire that the men who labor under political disabilities shall have amnesty, they will not filibuster, as they have been doing, but will allow the majority of the House-which has the right under all parliamentary rules to decide upon such questions-to act upon the civil rights bill, and let both bills go before the Senate for the sanction of that body. And I feel assured if the civil rights bill should pass the House along with the am-nesty bill, both of them will become the law before Congress shall have adjourned.

That is the reason why I submitted the resolution at this stage of the proceedings, and I hope it will be adopted after the gentlemen from Massachusetts [Mr. BUTLER] has had his bill passed.

Mr. BUCKLEY. I ask the gentlemen from South Carolina [Mr. ELLIOTT] to modify his resolution so as to require the report to be made on a day certain.

Mr. BINGHAM. The resolution is not before the House.

Mr. BUTLER of Massachusetts, I desire to explain in a word or two, for the information of the House and the country, why I, for one, and my associates on the Judiciary Committee have not yielded for an amendment to put on the civil rights bill. It is because we think the civil rights bill should pass, and can pass, by a majority, that we do not believe it is right to peril that bill by fastening it upon a bill which requires a two-thirds vote. It should stand by itself, and for one, I propose to labor diligently to see that a civil rights bill is passed, and that can be done by a majority of the House. I demand the previous question.

The previous question was seconded and the main question ordered.

The question was put; and (two thirds voting in favor thereof) the bill was passed.

Mr. FARNSWORTH. I ask unanimous consent that the following bill may be put upon its passage :

Be it enacted, &c., That all political disabilities imposed by the fourteenth article of amendments to the Constitution of the United States are hereby removed.

Mr. PERCE. I object. We have passed two amnesty bills, and now I want a civil rights bill.

Mr. FARNSWORTH. I move to suspend the rules.

The SPEAKER. The Chair cannot entertain that motion, for the gentleman from Iowa holds the floor.

CIVIL RIGHTS.

Mr. ELLIOTT. I move that the rules be suspended, and the following resolution be adopted:

Resolved, That the Committee on the Judiciary be, and it is hereby, directed to report, upon the next call of their committee, a bill supplemental to the act to protect all citizens of the United States in their civil rights and to farnish means for their vin-dication, commonly known as the "civil rights bill."

The question was put, and there were-ayes 9, noes 69; two thirds not voting in favor thereof.

Mr. ELLIOTT. I should like to have the yeas and nays upon this question.

Mr. McCRARY. I will give way for that

Mr. ELLIOTT. I call for the yeas and nays. The yeas and nays were ordered.

The question was taken; and there were-yeas 112, nays 76, not voting 52; as follows:

The yeas and nays were ordered. The question was taken; and there were— yeas 112, nays 76, not voting 52; as follows: YEAS—Messrs. Ambler, Ames, Averill, Banks, Barber, Barry, Beatty, Beveridge, Bigby, Bingham, Boles, Buckley, Buffinton, Burchatt, Ben-jamin F. Butler, William T. Clark, Freeman Clarke, Cobb. Coburn, Conger, Cotton, Darrall, Dawes, Dickey, Donnan, Duell, Dunnell, Eames, Elliott, Firnkelnburg, Charles Foster, Wilder D. Foster, Prye, Garfield, Goodrich, Hale, Harmer, George E. Harris, Ilavens, Hawley, Hays, Gerry W. Hazelton, John W. Hazelton, Hill, Hoar, Hooper, Kelley, Ketcham, Killinger, Lamport, Lansing, Lowe, Mo-Crary, McJunkin, McK ee, Merriam, Monroe, Moore, Moroy, Morphis, Leonard Myers, Negley, Orr, Pack-ard, Packer, Palmer, Isaac C. Parker, Peek, Pendle-ton, Perce, Aaron F. Perry, Poland, Rainey, Ellis H. Roberts, Rusk, Sargent, Sawyer, Scofield, Seeley, Sessions, Shanks, Sheldon, Shoemaker, H. Board-man Smith, John A. Smith, Worthington C. Smith, Snapp, Snyder, Thomas J. Speer, Sprague, Stark-weather, Stoughton, Strong, St. John, Sutherland, Taffo, Turner, Twichell, Tyner, Upson, Wakeman, Walken, Waldron, Wallace, Walls, Wheeler, Wilte-ley, Willard, Williams of Indiana, Jeremiah M. Wilson, and John T. Wilson-112 NAYS-Messrs, Acker, Adaus, Archer, Beek, Caldwell, Campbell, Comingo, Conner, Cox, Crebs, Cricher, Crossland, Davis, Dox, Du Boso, Eddredge, Henry D. Foster, Getz, Goliaday, Griffith, Hamble-ton, Hancock, Handloy, Hanks, Harper, John T. Harris, Hereford, Herndon, Hibburd, Holman, Houghton, Kendall, Kerr, Lamison, Leach, Lewis, Manson, McCleiland, McCormick, Meintyre, Mo-Kinney, Meneyl, Merrick, Bonjamin F. Meyers, Niblack, Hosea W. Parker, Price, Randall, Reod, Edward Y. Rice, John M. Riee, Robinson, Roose-volt, Sherwood, Shober, Slater, Sloss, R. Milton Speer, Stevens, Storn, Swan, Terry, Yaughan, Voorhoes, Wuddell, Warren, Weils, Whithorne, Williams of New York, Winchester, and Youmg-76. NOT VOTING-Messrs, Arthur, Barnum, Bigg

So (two thirds not voting in favor thereof) the rules were not suspended.

During the roll-call the following announcements were made:

Mr. TOWNSEND, of Pennsylvania. Upon this question I am paired with Mr. RITCHIE, who would have voted "no," and I should have voted "av."

Mr. DUKE. Upon this question I am paired with Mr. HALSEY, who would have voted in the affirmative, while I should have voted in the negative.

The result of the vote was announced as above recorded.

STATUE OF THE LATE SENATOR BAKER.

Mr. SARGENT. I ask unanimous consent to introduce and put upon its passage a bill pending for a life-sized statue of the late Senator Edward Dickinson Baker.

The bill was read for information. It appropriates the sum of \$10,000 to procure, to be placed in the Capitol, a life-sized marble statue of the late Senator Edward Dickinson Baker from the marble model for such statue now in the possession of the family of the deceased Senator; and the Librarian of Congress is authorized and directed to make a contract with Horatio Stone to carry out the provisions of this act.

Mr. BROOKS, of New York. I call for the regular order of business. What is the regular order, I would ask? The SPEAKER. The gentleman from Iowa

[Mr. McCRARY] has the floor to bring a contested-election case before the House.

Mr. SARGENT. I trust that the gentle-man from New York will not object to this bill.

Mr. BROOKS, of New York. I should be very glad to welcome a statue of Senator Baker if sent here either by the State of California or the State of Oregon.

Mr. SARGENT. Mr. Baker belonged to e whole country. He was not a Senator the whole country. from my State, and therefore my State, under the terms of the general law of Congress,

### 117TH CONGRESS 1ST SESSION H.R. 1405

AUTHENTICATED U.S. GOVERNMENT INFORMATION

> To provide a cause of action to remove and bar from holding office certain individuals who engage in insurrection or rebellion against the United States, and for other purposes.

### IN THE HOUSE OF REPRESENTATIVES

### FEBRUARY 26, 2021

Mr. COHEN introduced the following bill; which was referred to the Committee on the Judiciary, and in addition to the Committees on Oversight and Reform, House Administration, and Armed Services, for a period to be subsequently determined by the Speaker, in each case for consideration of such provisions as fall within the jurisdiction of the committee concerned

# A BILL

- To provide a cause of action to remove and bar from holding office certain individuals who engage in insurrection or rebellion against the United States, and for other purposes.
  - 1 Be it enacted by the Senate and House of Representa-
  - 2 tives of the United States of America in Congress assembled,

3 SECTION 1. INSURRECTION OR REBELLION BY OFFICE-

- 4 HOLDERS.
- 5 (a) IN GENERAL.—No Officeholder may engage in6 any insurrection or rebellion.

### I

1 (b) CIVIL ACTION.—The Attorney General of the 2 United States may bring a civil action for a declaratory 3 judgement and relief described in subsection (c) against 4 any Officeholder who engages in insurrection or rebellion, 5 including any Officeholder who, after becoming an Office-6 holder, engaged in insurrection or rebellion prior to the 7 date of the enactment of this Act.

8 (c) Relief.—

9 (1) IN GENERAL.—Notwithstanding any other
10 law, an Officeholder that is found pursuant to an ac11 tion brought under this section to have engaged in
12 insurrection or rebellion shall be—

13 (A) disqualified from holding any Federal
14 or State office;

(B) removed from any Federal or State of-fice held by such Officeholder; and

17 (C) to the extent permitted under the Con-18 stitution and except as otherwise provided in 19 this subsection, enjoined from receiving any 20 payment of an annuity or retirement pay under 21 title 5 of the United States Code, protection 22 under section 3056 of title 18, United States 23 Code, or any other Federal benefit that would 24 otherwise be received on the basis of such Of-25 ficeholder previously holding a Federal office.

(2) SURVIVOR AND BENEFICIARY BENEFITS
 FORFEIT.—Notwithstanding any other law and ex cept as otherwise provided in this subsection, no sur vivor or beneficiary of a disqualified Officeholder
 may receive any Federal payment or other Federal
 benefit on the basis of such disqualified Officeholder
 previously holding a Federal office.

(3) Refund of contributions.—For each 8 9 benefit enjoined or forfeit under paragraph (1)(C) or 10 (2), the disqualified Officeholder or the survivor or 11 beneficiary of such disqualified Officeholder, as ap-12 propriate, shall be refunded an amount equal to the 13 difference between any amounts such disqualified 14 Officeholder paid for or contributed to such benefit 15 less the value of such benefit received (if any) prior 16 to becoming a disqualified Officeholder.

(4) APPLICABILITY.—Paragraphs (1)(C) and
(2) shall apply only with respect to benefits to the
extent to which an individual becomes vested in or
entitled to after the date of the enactment of this
Act.

22 (d) PROCEDURE.—

(1) IN GENERAL.—Any action brought under
this section shall be heard and determined by a district court of three judges in accordance with section

1	2284 of title 28, United States Code. The chief
2	judge of the United States court of appeals for each
3	circuit shall, to the extent practicable and consistent
4	with the avoidance of unnecessary delay, consolidate,
5	for all purposes, in one district court within that cir-
6	cuit, all actions pending in that circuit under this
7	section. Any party to an action under this section
8	shall be precluded from seeking any consolidation of
9	that action other than is provided in this paragraph.
10	In selecting the district court in which to consolidate
11	such actions, the chief judge shall consider the con-
12	venience of the parties and witnesses and efficient
13	conduct of such actions. Any final order or injunc-
14	tion of a United States district court that is issued
15	pursuant to an action brought under this section
16	shall be reviewable by appeal directly to the Supreme
17	Court of the United States. Any such appeal shall
18	be taken by a notice of appeal filed within 10 days
19	after such order is entered; and the jurisdictional
20	statement shall be filed within 30 days after such
21	order is entered. No stay of an order issued pursu-
22	ant to an action brought under this section may be
23	issued by a single Justice of the Supreme Court.
24	(2) EXPEDITED DOCKET.—It shall be the duty

25 of a United States district court hearing an action

1	brought under this section and the Supreme Court
2	of the United States to advance on the docket and
3	to expedite to the greatest possible extent the dis-
4	position of any such matter.
5	(3) FORUM.—Any action brought under this
6	section against an Officeholder holding a Federal of-
7	fice shall be brought in the Federal district court for
8	the District of Columbia.
9	(4) STANDARD OF EVIDENCE.—With respect to
10	any action brought under this section, the Attorney
11	General shall demonstrate by clear and convincing
12	evidence that an Officeholder has engaged in insur-
13	rection or rebellion.
14	(e) Removal of Disabilities.—
	(e) Removal of Disabilities.— (1) Disqualification from holding of-
14	
14 15	(1) DISQUALIFICATION FROM HOLDING OF-
14 15 16	(1) DISQUALIFICATION FROM HOLDING OF- FICE.—
14 15 16 17	<ul> <li>(1) DISQUALIFICATION FROM HOLDING OF-</li> <li>FICE.—</li> <li>(A) IN GENERAL.—Congress may, upon</li> </ul>
14 15 16 17 18	<ul> <li>(1) DISQUALIFICATION FROM HOLDING OF- FICE.—</li> <li>(A) IN GENERAL.—Congress may, upon petition, by a two-thirds vote in each House re-</li> </ul>
14 15 16 17 18 19	<ul> <li>(1) DISQUALIFICATION FROM HOLDING OF- FICE.—</li> <li>(A) IN GENERAL.—Congress may, upon petition, by a two-thirds vote in each House re- move the disability described in subsection</li> </ul>
<ol> <li>14</li> <li>15</li> <li>16</li> <li>17</li> <li>18</li> <li>19</li> <li>20</li> </ol>	<ul> <li>(1) DISQUALIFICATION FROM HOLDING OF- FICE.—</li> <li>(A) IN GENERAL.—Congress may, upon petition, by a two-thirds vote in each House re- move the disability described in subsection (c)(1)(A).</li> </ul>
<ol> <li>14</li> <li>15</li> <li>16</li> <li>17</li> <li>18</li> <li>19</li> <li>20</li> <li>21</li> </ol>	<ul> <li>(1) DISQUALIFICATION FROM HOLDING OF- FICE.—</li> <li>(A) IN GENERAL.—Congress may, upon petition, by a two-thirds vote in each House re- move the disability described in subsection (c)(1)(A).</li> <li>(B) PETITION RULES.—The Senate and</li> </ul>
<ol> <li>14</li> <li>15</li> <li>16</li> <li>17</li> <li>18</li> <li>19</li> <li>20</li> <li>21</li> <li>22</li> </ol>	<ul> <li>(1) DISQUALIFICATION FROM HOLDING OF- FICE.—</li> <li>(A) IN GENERAL.—Congress may, upon petition, by a two-thirds vote in each House re- move the disability described in subsection (c)(1)(A).</li> <li>(B) PETITION RULES.—The Senate and the House of Representatives shall each estab-</li> </ul>

1 (A) BENEFITS.—Upon the removal of the 2 disability of an individual under paragraph 3 (1)(A), any benefits lost pursuant to paragraph 4 (1)(C) or (2) of subsection (c) with respect to 5 such individual shall be restored to the appro-6 priate individual, as determined under the laws 7 and regulations providing for such benefit, ex-8 cept that such benefit shall be reduced by the 9 amount paid (if any) under subsection (c)(3)10 with respect to such benefit.

11 (B) HONORS AND COMMEMORATIONS.— 12 Upon the removal of the disability of an indi-13 vidual under paragraph (1)(A), any honor or 14 commemoration lost and any property renamed 15 pursuant to subsection (f) with respect to such 16 individual shall be restored to the extend prac-17 ticable.

(C) CONTRACTS, GRANTS, LOANS, AND COOPERATIVE AGREEMENTS.—Upon the removal
of the disability of an individual under paragraph (1)(A), any contract, grant, loan, or cooperative agreement terminated pursuant to
subsection (g) with respect to such individual
shall be restored to the extend practicable.

25 (f) Federal Honors and Commemorations.—

1 (1) RESCISSION.—

_	
2	(A) IN GENERAL.—Any Federal honor or
3	commemoration, including any medal, decora-
4	tion, or award, awarded to a disqualified Office-
5	holder shall be rescinded.
6	(B) REPAYMENT NOT REQUIRED.—No dis-
7	qualified Officeholder shall be required to repay
8	to the Federal Government any amounts re-
9	ceived as part of any honor or commemoration
10	rescinded under subparagraph (A).
11	(2) FUTURE HONORS AND COMMEMORA-
12	TIONS.—A disqualified Officeholder shall be ineli-
13	gible to receive any Federal honor or commemora-
14	tion.
15	(3) RENAMING.—No Federal building, park,
16	award, or other property of the United States may
17	be named in honor of a disqualified Officeholder and
18	any such property named in honor thereof shall be
19	renamed.
20	(g) Federal Contracts, Grants, Loans, and Co-
21	OPERATIVE AGREEMENTS.—Notwithstanding any other
22	law, a disqualified Officeholder and any entity in which
23	such disqualified Officeholder holds, directly or indirectly,
24	a controlling interest shall be ineligible to—

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1	(1) receive any Federal funds under any grant
2	or loan provided or guaranteed by the Federal Gov-
3	ernment;
4	(2) be awarded a contract by the Federal Gov-
5	ernment;
6	(3) be a subcontractor, at any tier, under such
7	a contract; or
8	(4) enter into a cooperative agreement with the
9	Federal Government.
10	(h) INSURRECTION OR REBELLION.—Pursuant to
11	section 5 of the 14th Amendment, for the purposes of sec-
12	tion 3 of the 14th Amendment the term "insurrection or
13	rebellion" has the meaning given such term in this section.
14	(i) DEFINITIONS.—In this Act:
15	(1) Controlling interest.—The term "con-
16	trolling interest" means owning, controlling, or hold-
17	ing not less than 20 percent, by vote or value, of the
18	outstanding amount of any class of equity interest in
19	an entity.
20	(2) DISQUALIFIED OFFICEHOLDER.—The term
21	"disqualified Officeholder" means an Officeholder
22	who is found, pursuant to an action brought under
23	this section, to have engaged in insurrection or re-
24	bellion and whose disqualification from holding office

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1	under subsection $(c)(1)(A)$ has not been removed
2	pursuant to subsection (e).
3	(3) Equity interest.—The term "equity in-
4	terest" means—
5	(A) a share in an entity, without regard to
6	whether the share is—
7	(i) transferable; or
8	(ii) classified as stock or anything
9	similar;
10	(B) a capital or profit interest in a limited
11	liability company or partnership; or
12	(C) a warrant or right, other than a right
13	to convert, to purchase, sell, or subscribe to a
14	share or interest described in subparagraph (A)
15	or (B), respectively.
16	(4) Federal office.—The term "Federal of-
17	fice" means—
18	(A) the office of the President;
19	(B) the office of the Vice President;
20	(C) a Member of Congress;
21	(D) a Justice of the Supreme Court;
22	(E) a Federal court judge;
23	(F) the head of an executive agency (as de-
24	fined in section 105 of title 5, United States
25	Code);

9

1	(G) a position in the executive branch to
2	which an individual must be appointed by the
3	President with the advice and consent of the
4	Senate;
5	(H) a position created by Federal law to
6	which an individual is appointed by—
7	(i) an Officeholder holding a Federal
8	office to which such Officeholder was elect-
9	ed; or
10	(ii) an Officeholder who holds a posi-
11	tion to which an individual must be ap-
12	pointed by the President with the advice
13	and consent of the Senate;
14	(I) a position created by Federal law with
15	a non-delegable duty assigned to such position
16	by Federal law;
17	(J) a position in any level of government
18	that has the authority to—
19	(i) create Federal law, including pro-
20	mulgating rules and regulations or issuing
21	binding orders;
22	(ii) provide legally binding interpreta-
23	tions of such law, judicial order, or any ad-
24	ministrative order directly reviewable by,
25	or appealable to, a Federal court;

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1	(iii) enforce such Federal law, includ-
2	ing issuance of citations or fines, affecting
3	custodial arrests, and executing warrants,
4	if such enforcement is a significant compo-
5	nent of the duties of the position;
6	(iv) authorize the obligation or ex-
7	penditure of Federal funds; or
8	(v) award or manage compliance with
9	contracts on behalf of the Federal Govern-
10	ment;
11	(K) a position in the Federal Government,
12	civil or military, for which an individual has,
13	pursuant to a requirement of law or custom,
14	taken an oath to the support the Constitution
15	upon assuming such position; or
16	(L) a position that supervises a position
17	described in any of subparagraphs (A) through
18	(K).
19	(5) INSURRECTION OR REBELLION.—The term
20	"insurrection or rebellion" means—
21	(A) any violent act, or act supported by a
22	threat of violence, intended to impede any con-
23	stitutional function of the United States; and

1	(B) any attempt or conspiracy to commit,
2	or incitement of, an act described in subpara-
3	graph (A).
4	(6) Member of congress.—The term "Mem-
5	ber of Congress'' means Senator or a Representative
6	in, or Delegate or Resident Commissioner to, the
7	Congress.
8	(7) OfficeHolder.—The term "Officeholder"
9	means any individual who—
10	(A) holds or previously held a Federal or
11	State office;
12	(B) is or was an elector for President of
13	the United States; or
14	(C) is or was a member of the armed
15	forces or national guard (as such terms are de-
16	fined in section 101 of title 10, United States
17	Code) and who, as a member, took an oath to
18	support the Constitution.
19	(8) STATE.—The term "State" means a States
20	of the United States, the District of Columbia, and
21	the territories of the United States.
22	(9) STATE OFFICE.—The term "State office"
23	means—
24	(A) the office of the chief executive of a
25	State;

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1	(B) a member of a State legislature;
2	(C) a Justice or judge of a State court;
3	(D) the head of state executive agency;
4	(E) a position created by State law to
5	which an individual must be elected;
6	(F) a position in a State government to
7	which an individual must be appointed by, or
8	with the consent of, an elected State official of
9	that State or a body of elected or appointed
10	State officials of that State;
11	(G) a position in a State government spe-
12	cifically created by the law of such State;
13	(H) a position in any level of government
14	that has the authority to—
15	(i) create State law, including promul-
16	gating rules and regulations or issuing
17	binding orders;
18	(ii) provide legally binding interpreta-
19	tions of such law, judicial order, or any ad-
20	ministrative order directly reviewable by,
21	or appealable to, a State court;
22	(iii) enforce such law, including
23	issuance of citations or fines, affecting cus-
24	todial arrests, and executing warrants, if

1	such enforcement is a significant compo-
2	nent of the duties of the position;
3	(iv) authorize the obligation or ex-
4	penditure of State funds; or
5	(v) award or manage compliance with
6	contracts on behalf of a State;
7	(I) a position in a State government for
8	which an individual has, pursuant to a require-
9	ment of law or custom, taken an oath to the
10	support the Constitution upon assuming such
11	position; or
12	(J) a position that supervises a position
13	described in any of subparagraphs (A) through
14	(I).
14 15	(I). SEC. 2. FORMER PRESIDENT'S ACT BENEFITS.
15	SEC. 2. FORMER PRESIDENT'S ACT BENEFITS.
15 16 17	<b>SEC. 2. FORMER PRESIDENT'S ACT BENEFITS.</b> Subsection (f) of the Act entitled "An Act to provide
15 16 17	<b>SEC. 2. FORMER PRESIDENT'S ACT BENEFITS.</b> Subsection (f) of the Act entitled "An Act to provide retirement, clerical assistants, and free mailing privileges
15 16 17 18	<b>SEC. 2. FORMER PRESIDENT'S ACT BENEFITS.</b> Subsection (f) of the Act entitled "An Act to provide retirement, clerical assistants, and free mailing privileges to former Presidents of the United States, and for other
15 16 17 18 19	SEC. 2. FORMER PRESIDENT'S ACT BENEFITS. Subsection (f) of the Act entitled "An Act to provide retirement, clerical assistants, and free mailing privileges to former Presidents of the United States, and for other purposes", approved August 25, 1958 (commonly known
15 16 17 18 19 20	SEC. 2. FORMER PRESIDENT'S ACT BENEFITS. Subsection (f) of the Act entitled "An Act to provide retirement, clerical assistants, and free mailing privileges to former Presidents of the United States, and for other purposes", approved August 25, 1958 (commonly known as the "Former Presidents Act of 1958"; 3 U.S.C. 102
<ol> <li>15</li> <li>16</li> <li>17</li> <li>18</li> <li>19</li> <li>20</li> <li>21</li> </ol>	SEC. 2. FORMER PRESIDENT'S ACT BENEFITS. Subsection (f) of the Act entitled "An Act to provide retirement, clerical assistants, and free mailing privileges to former Presidents of the United States, and for other purposes", approved August 25, 1958 (commonly known as the "Former Presidents Act of 1958"; 3 U.S.C. 102 note), is amended—
<ol> <li>15</li> <li>16</li> <li>17</li> <li>18</li> <li>19</li> <li>20</li> <li>21</li> <li>22</li> </ol>	SEC. 2. FORMER PRESIDENT'S ACT BENEFITS. Subsection (f) of the Act entitled "An Act to provide retirement, clerical assistants, and free mailing privileges to former Presidents of the United States, and for other purposes", approved August 25, 1958 (commonly known as the "Former Presidents Act of 1958"; 3 U.S.C. 102 note), is amended—  (1) in paragraph (2), by striking "; and" and

(3) by adding at the end the following new
 paragraph:

3 "(4) who is not disqualified pursuant to section
4 3 of the 14th amendment to the Constitution of the
5 United States from holding any civilian or military
6 office of the United States or of any State.".

### 7 SEC. 3. SEVERABILITY.

8 If any provision of this Act, or the application thereof 9 to any person or circumstance, is held invalid, the remain-10 der of the Act, and the application of such provision to 11 other persons or circumstances shall not be affected there-12 by.

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